

Measurement of the neutrino-oxygen neutral-current quasielastic cross section and study of nucleon-nucleus interaction model using atmospheric neutrino data in the SK-Gd experiment

SK-Gd実験における大気ニュートリノデータを用いたニュートリノ-酸素原子核 中性カレント準弾性散乱反応断面積の測定および核子-原子核反応モデルの研究

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Mar. 11th, 2025 FY2024 1st CRC Town Meeting

SK-Gd experiment

- In Jul. 2020, 0.011% by mass of Gd was loaded in SK
 - \rightarrow SK-Gd experiment started^[1]
- Since Jul. 2022, 0.03% by mass of Gd has been loaded in SK
- Why Gd?
 - Largest thermal neutron capture cross section among natural elements
 - Emit a total of ~8 MeV of gamma rays
 - \rightarrow Neutron tagging efficiency is largely improved
- Aiming the first observation of the diffuse supernova neutrino background (DSNB)

[1] J. F. Beacom and M. R. Vagins, Phys. Rev. Lett. **93**, 171101 (2004)
LI. Marti *et al.*, Nucl. Instrum. Methods Phys. Res., Sect. A **959**, 163549 (2020)



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DSNB search in SK-Gd exper

- Search for the inverse beta decay (IBD) by electron antineutrinos ($\bar{\nu_e} + p \rightarrow e^+ + n$)
- Detect positron (prompt signal) and neutron (delayed signal) pairs
 - \rightarrow Can remove backgrounds without neutrons





NCQE events in DSNB search

- Neutral-current quasielastic scattering (NCQE) reaction
- Atmospheric neutrino knocks out a nucleon of the oxygen nucleus
 - \rightarrow Deexcitation gamma ray and neutron pairs mimic DSNB events
 - → Important to estimate NCQE events precisely





DSNB (IBD) $\overline{\nu}_e + p \rightarrow e^+ + n$



NCQE events in DSNB search

- Energy of atmospheric neutrinos: $O(10^2)$ - $O(10^3)$ MeV
- Energy of neutrons from (atmospheric) neutrino interactions: $O(1)-O(10^3)$ MeV
 - \rightarrow More gamma rays and neutrons are generated by nucleon-nucleus interactions
- Cannot distinguish between neutrino and nucleon-nucleus interactions in SK
 - \rightarrow Must understand each process that generates







What I did

Measurement of the neutrino-oxygen NCQE cross section

- Previous studies
 - T2K (accelerator neutrinos)
 - K. Abe et al., Phys. Rev. D 90, 072012 (2014)
 - K. Abe et al., Phys. Rev. D 100, 112009 (2019)
 - SK (atmospheric neutrinos)
 - L. Wan et al., Phys. Rev. D 99, 032005 (2019)
- For the first time in SK-Gd

Study of nucleon-nucleus interaction model

 Evaluated nucleon-nucleus interaction models systematically for the first time



Nucleon-nucleus interaction model

- Consist of intranuclear cascade model and evaporation model
 - Intranuclear cascade model: Describe a chain of reactions triggered by a reaction between an incident

particle and a nucleon in a nucleus

 Evaporation model: Describe a process of emitting nucleons and gamma rays isotropically when an excited residual nucleus deexcites



Nucleon-nucleus interaction model

- Evaporation model is so different
 - BERT unique (BERT): Continuous transitions till the end
 - G4PreCompound (BIC, INCL++): Continuous to discrete transitions (more realistic)



Energy of gamma rays

- BERT: Many continuous components in addition to peaks of deexcitation gamma rays
- BIC & INCL++: Similar tendencies



Number of neutron captures

- BERT: Number of neutron captures per NCQE event is large (1.29/NCQE event)
- BIC & INCL++: Similar tendencies (1.07(1.06)/NCQE event)



Comparison of nucleon-nucleus interaction model

- Select NCQE events from the 552.2 days of observed data with 0.011% by mass of Gd
 - $\rightarrow~$ Compare the following distributions in BERT, BIC, and INCL++
 - Cherenkov angle of prompt signal: Correspond to the number of gamma rays
 - Energy of prompt signal:

Correspond to the energy of gamma rays

- Number of delayed signals:

Correspond to the number of neutron captures

$$\Theta_{\rm C} = \frac{1}{n\beta}$$

n: Refractive index $\beta = \nu/c$ $n \sim 1.34$ $\beta \sim 1$ $\rightarrow \theta_{\rm C} \sim 42$ degrees



Comparison of nucleon-nucleus interaction model



- Observed data: 38 events
- Evaluate each distribution using chi-square
 - \rightarrow Not conclusive due to small statistics
 - $\rightarrow\,$ Suggest that BIC and INCL++ reproduce data better than BERT

Determination of nucleon-nucleus interaction model

- Focus on the number of events in Cherenkov angle of prompt signal (θ_c) \in [78, 90] degrees
- Consider statistical uncertainty of data and systematic uncertainty of MC
 - \rightarrow BERT is ~2.2 σ far from data at this work



	Number of events $(\theta_{\rm C} \in [78, 90] \text{ degrees})$
Data	14
BERT	26.8
BIC	18.4
INCL++	18.9

Determination of nucleon-nucleus interaction model

- SK continues to observe with 0.03% by mass of Gd
- Assume that neutron tagging efficiency improves from 35.6%^[1] (Gd: 0.011%) to 63.0%^[2] (Gd: 0.03%)
 - → Statistics increases by about 1.4 times with the same live time
- Evaporation model can be determined at 5σ by using ~4 years of data (Gd: 0.03%)



[1] M. Harada *et al.*, Astrophys. J. Lett. **951**, L27 (2023)[2] Y. Kanemura, "Improvement of neural network analysis in SK-VII", SK internal slide (2023)

Results from other experiments

- RCNP^[1] (quasi-mono energetic neutron beams + water target)
 - Evaluate energy spectrum of gamma rays using chi-square (See the right slide \rightarrow)
 - → Suggest that INCL++ reproduce data better than other models
- T2K^[2] (accelerator neutrinos)
 - Compare the following distributions in BERT and INCL++
 - Cherenkov angle of prompt signal
 - Energy of prompt signal
 - → Suggest that INCL++ reproduce data better than BERT



[1] 日野陽太 他, "ニュートリノ反応理解に向けた中性子酸素原子核非弾性散乱事象の実験データとシミュレーションモデルの比較", JPS 第79回年次大会 (2024) [2] 竹谷浩鷹 他, "T2K実験によるニュートリノ中性カレント反応測定のためのGeant4ベースの検出器シミュレーションの研究", JPS 第79回年次大会 (2024)

Prospects (SAMURAI-79 experiment)

- RIKEN RIBF (¹⁶O and ¹⁷O (200 MeV/u) beams + liquid hydrogen target + SAMURAI spectrometer)
 - Measure the particle decay branching ratio from ¹⁵N*, ¹⁵O* and ¹⁶O* as a function of excitation energy
 - Measure the energy spectrum of deexcitation gamma rays and neutrons without energy threshold
 - → Tune the deexcitation model
 - → Reduce uncertainties of atmospheric neutrino backgrounds ($\geq 40\% \rightarrow \sim 10\%$)



Prospects (TOMOE project)



• JST ERATO SEKIGUCHI Three-Nucleon Force (TOMOE) Project (Oct. 2023 - Mar. 2029)



Prospects (TOMOE project)



• JST ERATO SEKIGUCHI Three-Nucleon Force (TOMOE) Project (Oct. 2023 - Mar. 2029)



Summary

- Aiming the first observation of the DSNB in SK-Gd
 - \rightarrow Important to estimate NCQE events precisely
- Performed the measurement of the neutrino-oxygen NCQE cross section and the study of nucleon-nucleus interaction model
 - \rightarrow Suggested that BIC and INCL++ reproduce data better than BERT
 - \rightarrow Similar results are obtained from other experiments (RCNP, T2K)
- Will reduce uncertainties of atmospheric neutrino backgrounds by the SAMURAI-79 experiment
- Will promote 'evolution of nuclear data' by the TOMOE project



Super-Kamiokande (SK)

- Large water Cherenkov detector (1996-)
- Consist of 50 kilotons ultrapure water and photomultiplier tubes (PMTs)
- Inner detector (11,129 20-inch PMTs)
 - \rightarrow Reconstruct vertex, direction, and energy of charged particles
- Outer detector (1,885 8-inch PMTs)
 - \rightarrow Distinguish between neutrinos and cosmic ray muons

I was a member of the SK Collaboration (Apr. 1st, 2019-Mar. 31st, 2024)





Thermal neutron capture cross sections on Gd

Table 1. Relative abundances of gadolinium isotopes in natural gadolinium [20] and their radiative thermal neutron capture cross sections [1].

Isotope	Abundance [%]	Cross section [b] 735	
¹⁵² Gd	0.200		
¹⁵⁴ Gd	2.18	85	
¹⁵⁵ Gd	14.80	60 900	
¹⁵⁶ Gd	20.47	1.8	
¹⁵⁷ Gd	15.65	254 000	
¹⁵⁸ Gd	24.84	2.2	
¹⁶⁰ Gd	21.86	1.4	

Fraction of neutron captures on Gd



Diffuse Supernova Neutrino Background (DSNB)

- **DSNB**: Superposition of neutrinos emitted from all past SNe
- Floating in the universe like background radiation •
- Flux is small, but always potentially observable



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Y. Koshio, "The supernovae neutrino detection in Super- and Hyper-Kamiokande", LPNHE, Paris, France (2023) 24 S. Ando, Astrophys. J. 607, 20 (2004)

Diffuse Supernova Neutrino Background (DSNB)

• DSNB flux:

$$\frac{dF(E_{\nu})}{dE_{\nu}} = c \int_{0}^{z_{\text{max}}} \frac{dz}{H_0 \sqrt{\Omega_m (1+z)^3 + \Omega_\Lambda}} \left[R_{\text{CC}}(z) \int_{0}^{z_{\text{max}}} \psi_{\text{ZF}}(z,Z) \left\{ \int_{M_{\text{min}}}^{M_{\text{max}}} \psi_{\text{IMF}}(M) \frac{dN(M,Z,E_{\nu}')}{dE_{\nu}'} dM \right\} dZ \right]$$

- → Depend on SNR, metallicity, initial mass function, number of neutrinos per SN, etc.
- There is a range of one order of magnitude on DSNB flux predictions
 - → DSNB observation would contribute to our understanding of the mechanism of SNe, SFR, and SNR
- Aiming the first observation of the DSNB in the Super-Kamiokande



What we do not understand

(e.g.) SN rate problem

- Lifetime of a massive star going SN is short enough compared to the time scale of the evolution of the universe
 - → Star formation and SN can be approximated to occur at the same time
 - → Should be possible to predict the SN rate (SNR) from the star formation rate (SFR)
- SNR obtained from optical observations is about half of prediction from the SFR
 - Some SNe are too dark to be observed?
 - There is something preventing observation?
 - → Would like to observe SN neutrinos more!



DSNB search in SK

- Search for the inverse beta decay (IBD) by electron antineutrinos ($\bar{\nu}_e + p \rightarrow e^+ + n$)
 - \rightarrow Cross section is 1-2 orders of magnitude larger than others at < 30 MeV
- Detect positron (prompt signal) and neutron (delayed signal) pairs
 - \rightarrow Can remove backgrounds without neutrons
- Delayed signal was 2.2 MeV gamma ray by neutron capture on H
 - \rightarrow Neutron tagging efficiency was < 20%





Neutron capture time constant



K. Abe *et al.*, Nucl. Instrum. Methods Phys. Res., Sect. A **1027**, 166248 (2022) K. Abe *et al.*, Nucl. Instrum. Methods Phys. Res., Sect. A **1065**, 169480 (2024)

DSNB flux upper limits





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• Flux-averaged theoretical cross section:

$$\left\langle \sigma_{\text{NCQE}}^{\text{theory}} \right\rangle = \frac{\int_{160 \text{ MeV}}^{10 \text{ GeV}} \sum_{i=\nu,\overline{\nu}} \phi_i(E) \times \sigma_i(E)_{\text{NCQE}}^{\text{theory}} dE}{\int_{160 \text{ MeV}}^{10 \text{ GeV}} \sum_{i=\nu,\overline{\nu}} \phi_i(E) dE} = 1.02 \times 10^{-38} \text{ cm}^2/\text{oxygen}$$

• Ratio of observed NCQE events to expected NCQE events (f_{NCQE}):

$$f_{\rm NCQE} = \frac{N^{\rm obs} - N_{\rm NC \, non-QE}^{\rm exp} - N_{\rm Others}^{\rm exp}}{N_{\rm NCQE}^{\rm exp}} = 0.725$$

• Measured cross section:

$$\langle \sigma_{\text{NCQE}}^{\text{measured}} \rangle = f_{\text{NCQE}} \times \langle \sigma_{\text{NCQE}}^{\text{theory}} \rangle$$

= 0.74 ± 0.22(stat.) × 10⁻³⁸ cm²/oxygen

N ^{obs}	38
$N_{ m NCQE}^{ m exp}$	28.7
N ^{exp} NC non-QE	13.3
$N_{ m Others}^{ m exp}$	4.0

• Number of events

	BERT	BIC	INCL++
N ^{obs}	38		
$N_{ m NCQE}^{ m exp}$	28.7	19.8	20.2
$N_{ m NCnon-QE}^{ m exp}$	13.3	10.2	10.1
$N_{\rm CC}^{\rm exp}$	1.4	1.1	1.2
$N_{ m Spallation}^{ m exp}$	0.9	0.9	0.9
$N_{ m Reactor}^{ m exp}$	0.1	0.1	0.1
$N_{ m Accidental}^{ m exp}$	1.6	1.6	1.6

• Systematic uncertainties

	$N_{ m NCQE}^{ m exp}$	N _{NC non-QE}
Atmospheric neutrino flux	±18.0%	
Atmospheric neutrino/antineutrino ratio	±5.0%	
Cross section	-	±18.0%
Primary interaction	+1.5%/-9.4%	+0.0%/-2.4%
Secondary interaction	-30.9%	-24.3%
Energy cutoff	-2.1%	-1.5%
Data reduction	±1.4%	
Neutron tagging	±6.4%	

- Systematic uncertainties
 - $N_{\rm spallation}^{\rm exp}$: 60.0%
 - From DSNB analysis
 - $N_{\text{Reactor}}^{\exp}$: 100.0%
 - From DSNB analysis
 - $N_{\text{Accidental}}^{\text{exp}} = \epsilon_{\text{mis}} \times N_{\text{pre-ntag}}^{\text{obs}}$: 4.6%
 - From systematic uncertainty of ϵ_{mis} and statistical uncertainty of $N_{pre-ntag}^{obs}$ (= 5,447)

	N _{CC} ^{exp}
Atmospheric neutrino flux	±18.0%
Atmospheric neutrino/antineutrino ratio	<u>+</u> 5.0%
Cross section	<u>+</u> 24.0%
Primary interaction	+1.2%/-8.0%
Secondary interaction	-20.7%
Energy cutoff	-19.9%
Data reduction	<u>+</u> 1.4%
Neutron tagging	±6.4%

- Determine systematic uncertainty of $\langle \sigma_{\text{NCQE}}^{\text{measured}} \rangle = \frac{N^{\text{obs}} N_{\text{NC non-QE}}^{\text{exp}} N_{\text{Others}}^{\text{exp}}}{N_{\text{NCQE}}^{\text{exp}}} \times \langle \sigma_{\text{NCQE}}^{\text{theory}} \rangle$ using toy MC
 - 1. Determine $N_{\text{NCQE}}^{\text{exp}}$, $N_{\text{NC non-QE}}^{\text{exp}}$, and $N_{\text{Others}}^{\text{exp}}$ according to each uncertainty
 - 2. Calculate $\langle \sigma_{\text{NCQE}}^{\text{measured}} \rangle$ to plot
 - 3. Repeat procedures above 1 million times
 - 4. Range of 1σ from

 $\langle \sigma_{\rm NCQE}^{\rm measured} \rangle = 0.74 \times 10^{-38} \ {\rm cm}^2 / {\rm oxygen}$

is the systematic uncertainty

• $\langle \sigma_{\text{NCQE}}^{\text{measured}} \rangle = 0.74 \pm 0.22 (\text{stat.})_{-0.15}^{+0.85} (\text{syst.})$ $\times 10^{-38} \text{ cm}^2/\text{oxygen}$



- $\langle \sigma_{\text{NCQE}}^{\text{measured}} \rangle = 0.74 \pm 0.22 (\text{stat.})_{-0.15}^{+0.85} (\text{syst.}) \times 10^{-38} \text{ cm}^2 / \text{oxygen}$
 - \rightarrow Consistent with

 $\left\langle \sigma_{\rm NCQE}^{\rm theory} \right\rangle = 1.02 \times 10^{-38} \ {\rm cm}^2/{\rm oxygen}$

within the uncertainties



Nucleon-nucleus interaction model



Differences of nucleon-nucleus interaction model

- Reaction point with nucleons in the nucleus
 - BERT: Determine using mean free path
 - BIC, INCL++: Determine using closest approach distance



Differences of nucleon-nucleus interaction model

- Stopping time of intranuclear cascade process
 - BERT, BIC: Stop when all (escapable) particles escape the nucleus
 - INCL++: Force to stop at the following time (t_{stop})

$$t_{\rm stop} = 70 \,\,{\rm fm/c} \,\times \left(\frac{A}{208}\right)^{0.16}$$

- Nuclear model (nucleon density)
 - BERT: Change discretely with distance from center of the nucleus
 - BIC, INCL++: Change smoothly with distance from center of the nucleus
- Condition for termination of the evaporation process
 - BERT: End when excitation energy falls below 10^{-15} MeV
 - BIC, INCL++: End after continuous and discrete transitions

Comparison of nucleon-nucleus interaction model

• Calculate χ^2 using Poisson likelihood

$$\chi^{2} = 2 \sum_{i=1}^{\text{bin}} \left(N^{\exp, i} - N^{\text{obs}, i} + N^{\text{obs}, i} \ln \frac{N^{\text{obs}, i}}{N^{\exp, i}} \right)$$

- *N*^{obs}: The observed number of events
- N^{\exp} : The expected number of events
- $\rightarrow\,$ Not conclusive due to small statistics
- $\rightarrow \chi^2$ in BIC and INCL++ is smaller than that in BERT in all distributions

	$\chi^2/\mathrm{ndf}\left(\theta_{\mathrm{C}}\right)$	χ^2 /ndf ($E_{\rm vis}$)	$\chi^2/\mathrm{ndf}\left(N_{\mathrm{delayed}}\right)$
BERT	23.0 / 15	9.8 / 11	5.8 / 5
BIC	19.6 / 15	6.9 / 11	3.1 / 5
INCL++	19.8 / 15	6.8 / 11	2.8 / 5

Comparison of nucleon-nucleus interaction model

• p-value is larger (model is closer to data) as χ^2/ndf is smaller



Figure 40.2: The 'reduced' χ^2 , equal to χ^2/n , for *n* degrees of freedom. The curves show as a function of *n* the χ^2/n that corresponds to a given *p*-value.

S. Navas et al. (Particle Data Group), Phys. Rev. D 110, 030001 (2024)

Determination of nucleon-nucleus interaction model

- SK continues to observe with 0.03% by mass of Gd (SK-VII)
- Assume that neutron tagging efficiency improves from 35.6%^[1] (Gd: 0.011%, SK-VI) to 63.0%^[2] (Gd: 0.03%, SK-VII)
 - → Statistics increases by about 1.4 times with the same live time



[1] M. Harada *et al.*, Astrophys. J. Lett. **951**, L27 (2023)
[2] Y. Kanemura, "Improvement of neural network analysis in SK-VII", SK internal slide (2023)

Current situation

- No published data for particle decay branching ratio from highly excited states of ¹⁵O* and ¹⁶O*
- Normal-kinematics experiment with ¹⁶O(p, 2p)¹⁵N* reaction^[1]
 - Only particles with energy above ~3 MeV are detected
 - SK is sensitive to all thermalized neutrons regardless of their initial energy
 - → Insufficient to reduce uncertainties of atmospheric neutrino backgrounds to ~10% level



Emission probability from de-excitation process of ¹⁵N* (Excitation energy: 20-40 MeV)

Oxygen beam experimeteutrol capture (~ La few Cos of µs) B Fe-excitation y

- Plan to perform the inverse-kinematics experiment with ¹⁶O(p, 2p)¹⁵N*, ¹⁶O(p, pr Prompt: Charged leptons and gamma reactions using the SAMURAI spectrometer
 - Beam: ¹⁶O and ¹⁷O (200 MeV/u)
 - Target: Liquid hydrogen
- Would like to peasure
 - Particle decay branching ratio from ${}^{15}N^*$, ${}^{15}O^*$ and ${}^{16}O^*$ as a function of excitation energy
 - Energy spectrum of desexcitation gamma rays and neutrons without energy threshold
 - → Tune the level densities in the Hauser-Feshbach E_{γ} (MeV) (statistical) model



Re-scattered

nucleons

De-excitation nucleons De-excitation y

Oxygen beam experiment at the RIBF

Experimental setup for ¹⁶O(p, 2p)¹⁵N*

- Detect <u>knock-out protons</u> with STRASSE (silicon) and CATANA (Csl(Na) crystals) detectors
 - \rightarrow Identify ¹⁶O(p, 2p)¹⁵N* reaction
 - \rightarrow Reconstruct excitation energy of ¹⁵N*
- Detect <u>de-excitation gamma rays</u> with CATANA detector
- Detect <u>de-excitation neutrons</u> with NEBULA and NEBULA-Plus (plastic scintillation) detectors
- Detect <u>residual nuclei and all other decay products</u> with the downstream detectors





Oxygen beam experiment at the RIBF

Experimental setup for ¹⁶O(p, pn)¹⁵O* and ¹⁷O(p, pn)¹⁶O*

- Detect knock-out protons with STRASSE and (half of) CATANA detectors
- Detect <u>knock-out neutrons</u> with HIME and MNEUT (plastic scintillation) detectors
 - \rightarrow Identify ¹⁶O(p, pn)¹⁵O* and ¹⁷O(p, pn)¹⁶O* reactions
 - \rightarrow Reconstruct excitation energy of ¹⁵O* and ¹⁶O*
- Detect de-excitation gamma rays with CATANA detector
- Detect <u>de-excitation neutrons</u> with NEBULA and NEBULA-Plus (plastic scintillation) detectors
- Detect <u>residual nuclei and all other decay products</u> with the downstream detectors





Three-nucleon force



S. C. Pieper *et al.*, Phys. Rev. C **64**, 014001 (2001) G. Hagen *et al.*, Phys. Rev. Lett. **108**, 242501 (2012) P. B. Demorest *et al.*, nature **467**, 1081 (2010)



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