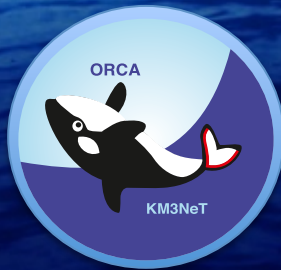




# KM3NeT: neutrino astronomy and oscillation research in the Mediterranean

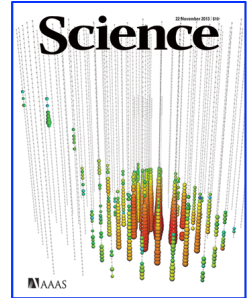
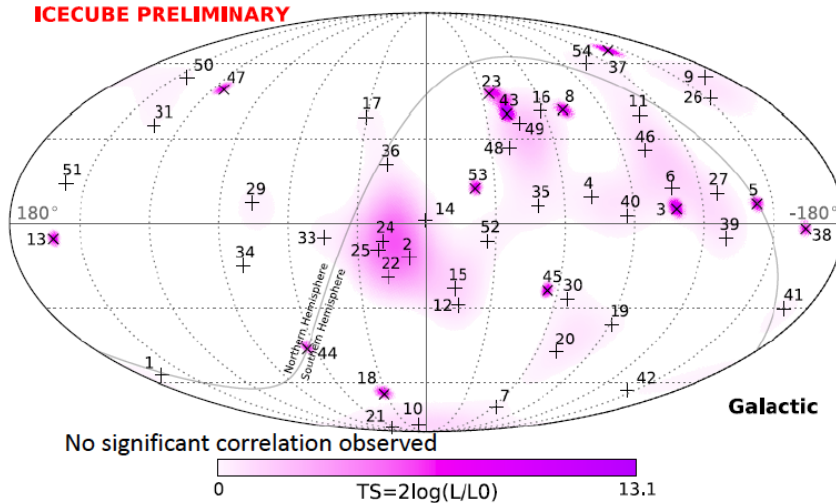
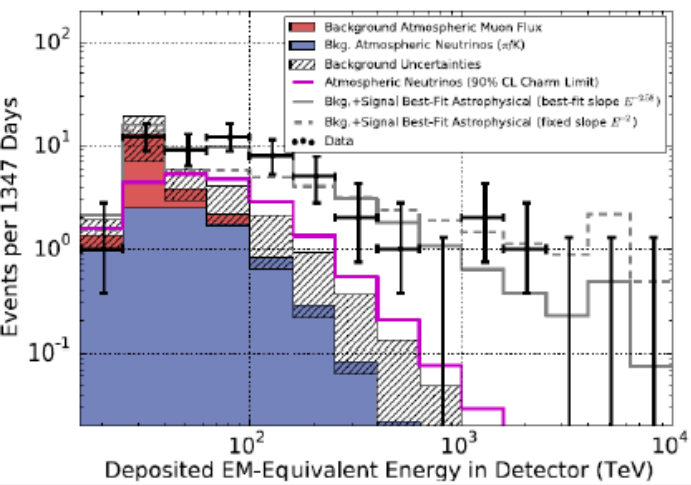
TeV Particle Astrophysics  
2015  
Kashiwa, Japan  
October 26-30



Véronique Van Elewyck  
(APC & Université Paris Diderot)  
on behalf of  
the KM3NeT Collaboration

# Neutrino astronomy

It's starting now !



... the next challenges:

**? WHERE AND WHICH ARE THE SOURCES ?**  
 main observables: spectrum – composition - anisotropies



need good angular/energy accuracy  
 all-flavour astronomy  
 multi-messenger programs

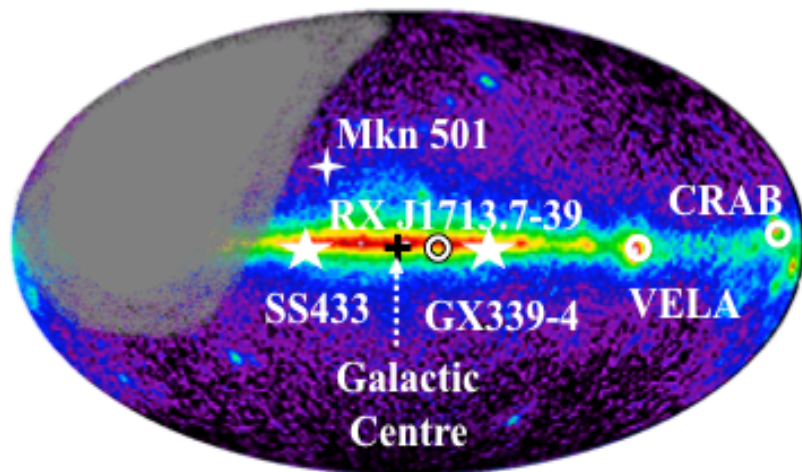
+ new opportunities in particle physics !



Deep-sea neutrino telescopes will bring new insights !

# Neutrino telescopes in the Mediterranean Sea

- ✓ Complementarity to IceCube South Pole
  - ➡ excellent view of most of the Galaxy
- ✓ Long (homogeneous) scattering length
  - ➡ good pointing accuracy
- ✓ Deep sites: 2500→5000m
  - ➡ shielding from downgoing muons
- ✓ Close to shore
  - ➡ logistically attractive (deployment/repair)



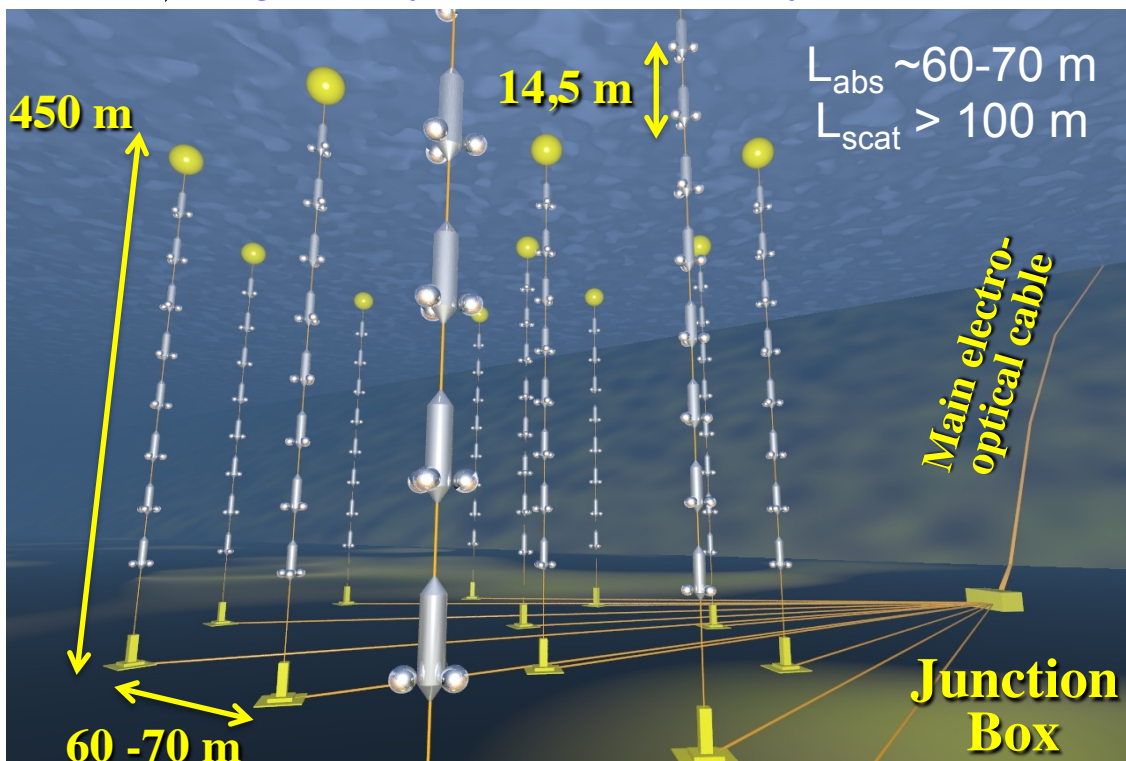
## ANTARES:

First undersea neutrino telescope, completed 2008 offshore Toulon (France)

12 lines,  $\sim 0.01 \text{ km}^3$  instrumented

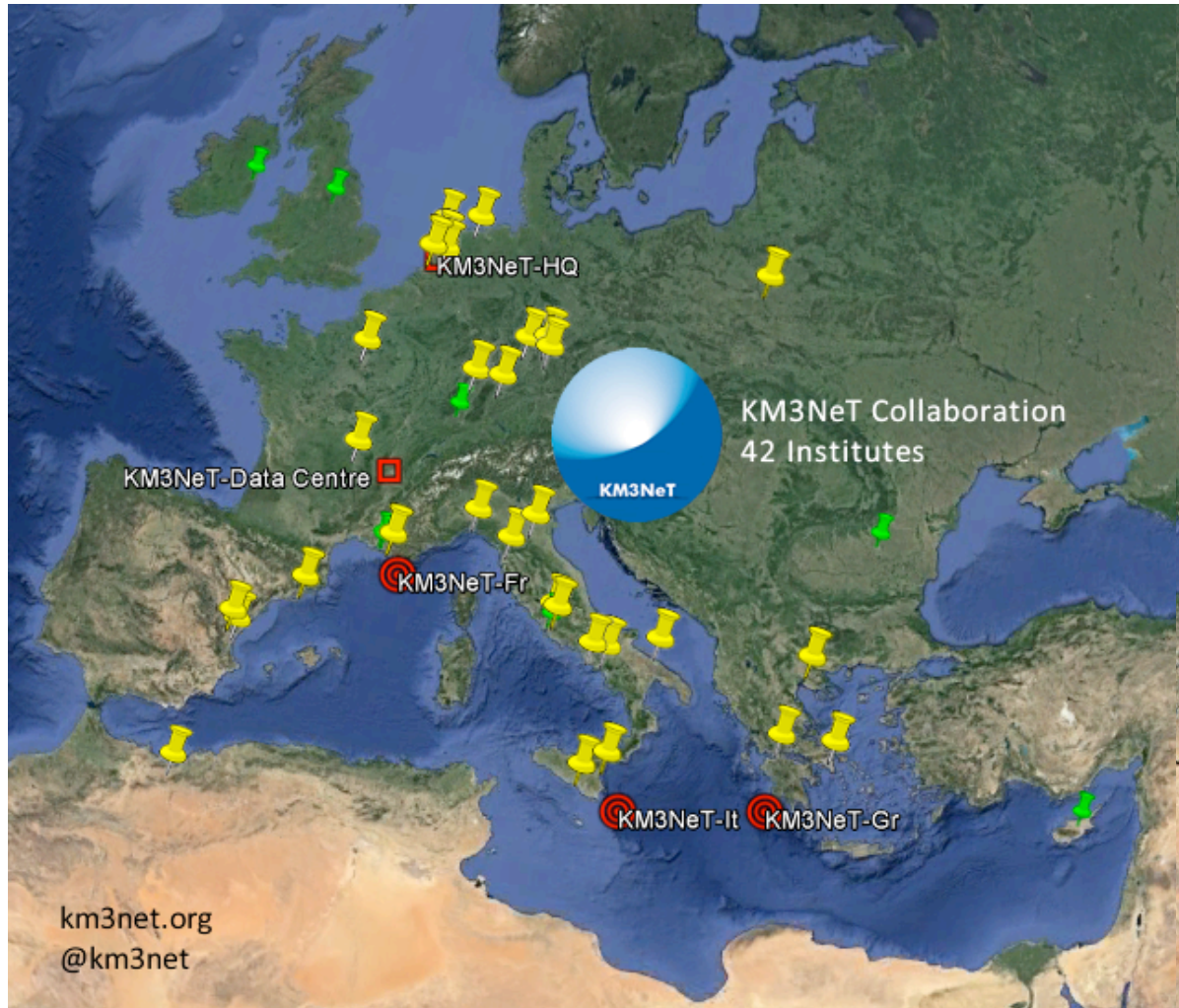
Small, but already constrains some scenarios for the IC signal...

See talk by R. Gracia  
Thursday Neutrino Session



# The next generation: KM3NeT

The Collaboration: 240 people, 42 institutes, 12 countries

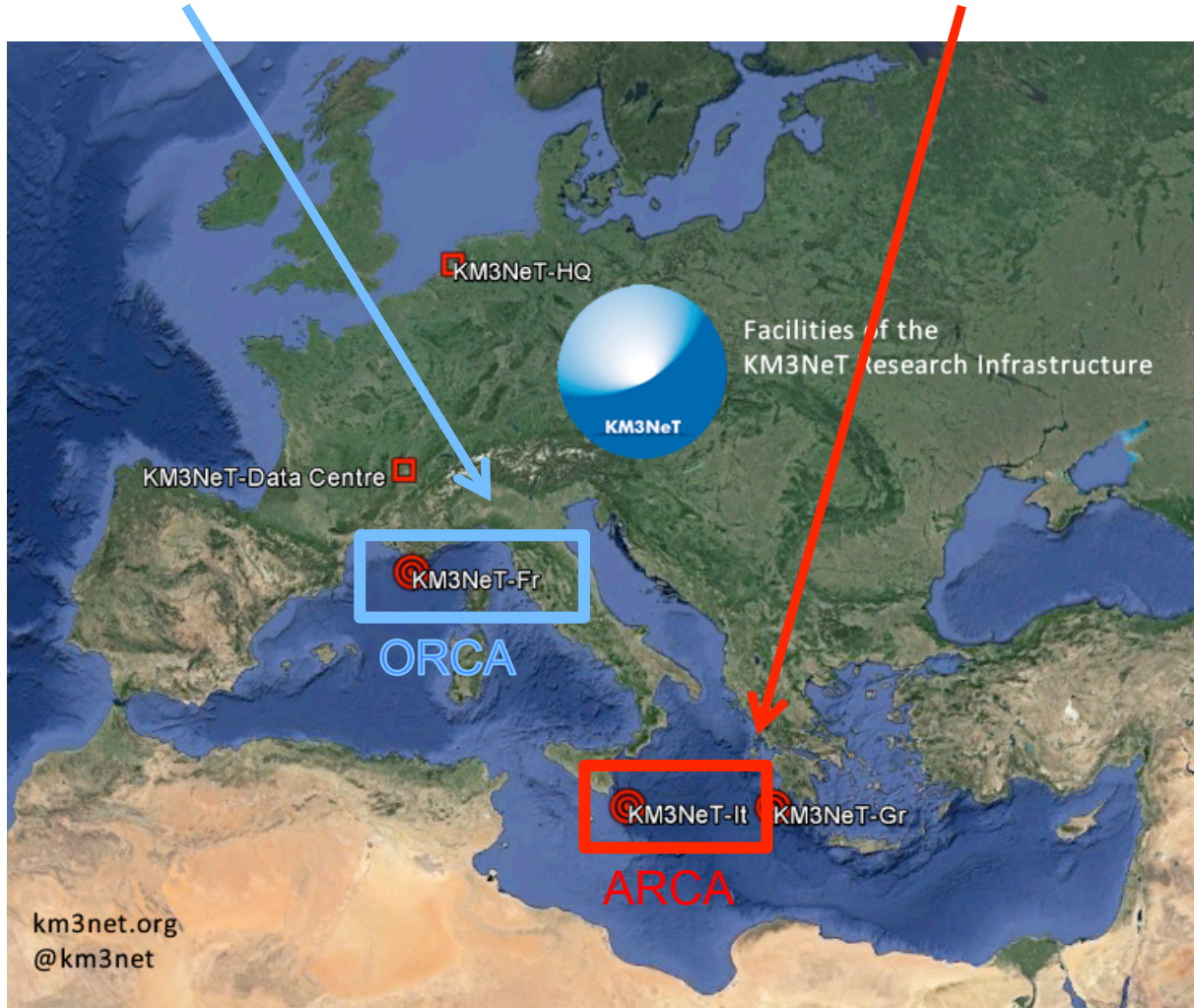


# The next generation: KM3NeT

KM3NeT is a distributed research infrastructure with 2 main physics topics:

Oscillations and Astroparticle Research with Cosmics in the Abbyss

Low-Energy studies of atmospheric neutrinos – High-Energy search for cosmic neutrinos



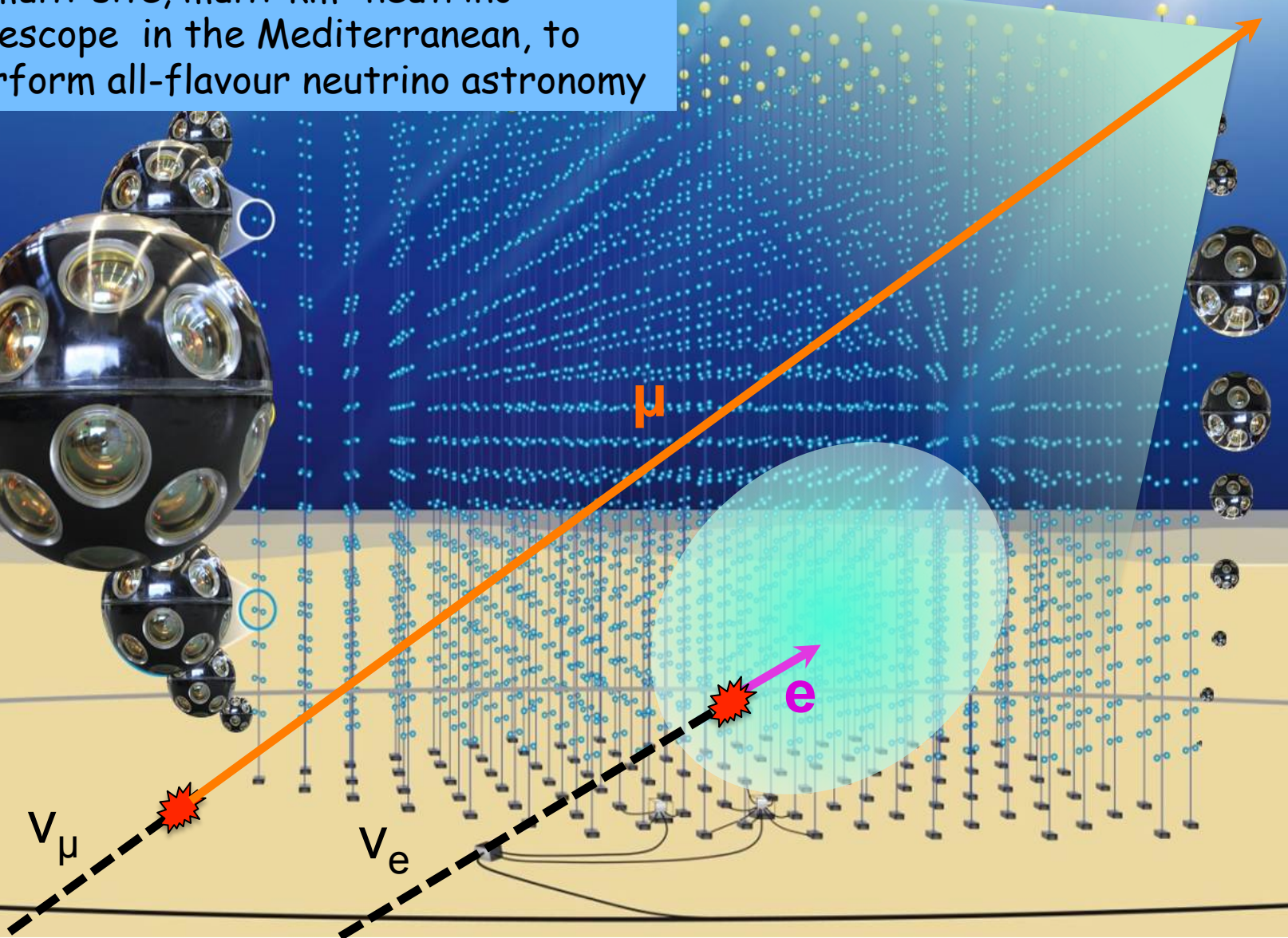
2 sites currently under construction in France and Italy:

KM3NeT-Fr (Toulon, close to ANTARES)

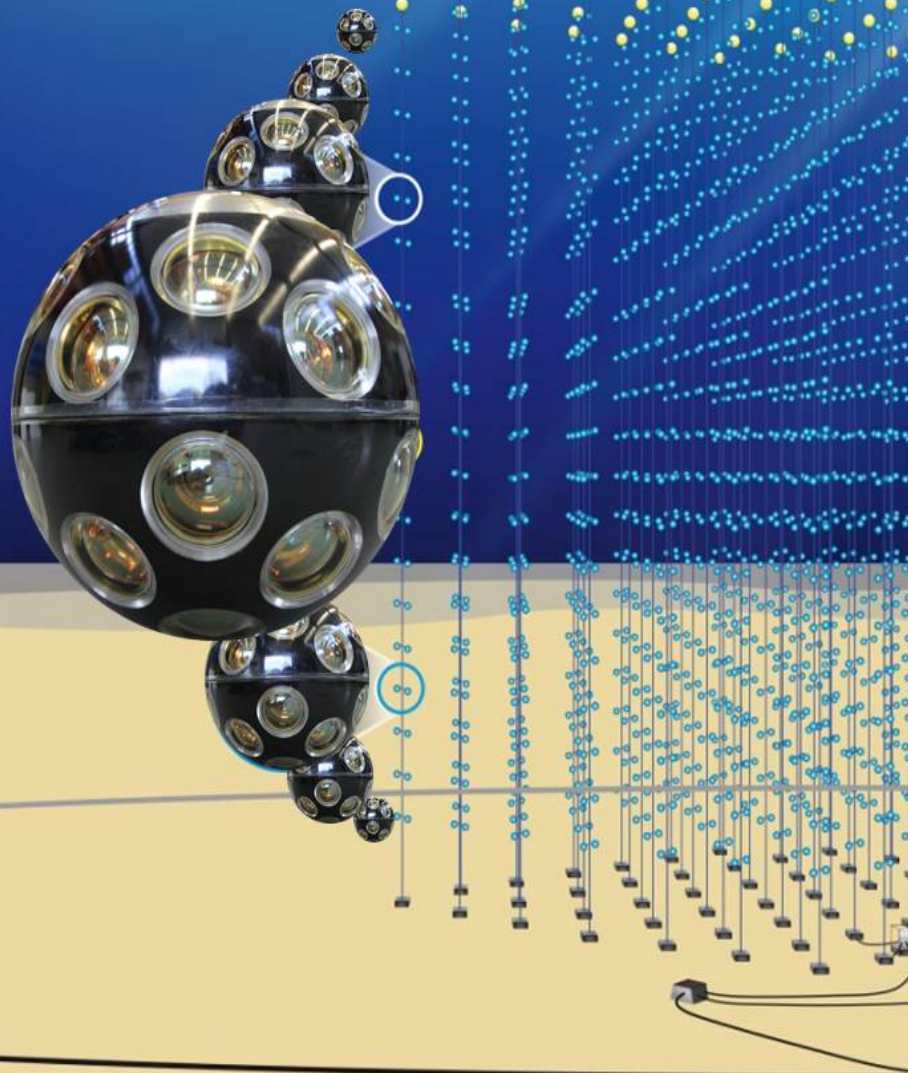
KM3NeT-It (Capo Passero, Sicily)

# The next generation: KM3NeT

A multi-site, multi-km<sup>3</sup> neutrino telescope in the Mediterranean, to perform all-flavour neutrino astronomy



# KM3NeT: design and layout



## Infrastructure:

Building blocks of 115 strings anchored to sea bottom;  
18 equally spaced modules per string  
( $\sim 1 \text{ km}^3$  footprint in ARCA configuration)

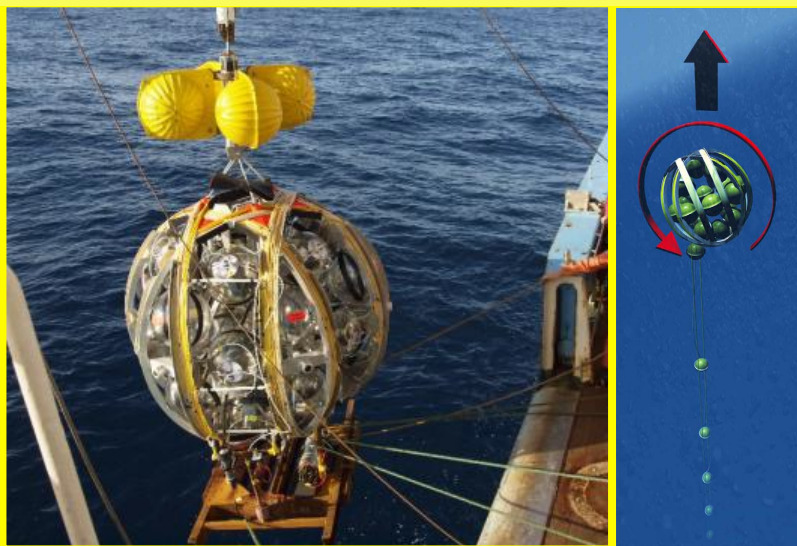
- **Multi-PMT digital optical modules**  
31 X 3" PMTs + expansion cones
- **Time synchronization**  
White rabbit
- **Optical data transmission**  
Base module with DWDM at string anchor
- **All data to shore concept**  
Filtering/Trigger on-shore in computer farm

+ nodes for long term high-bandwidth connection for Earth and Sea sciences  
Optical data transmission

# KM3NeT: design and layout

## Launcher vehicle:

- autonomous unfurling
- rapid deployment (several lines per campaign)
- easy recovery



## Infrastructure:

Building blocks of 115 strings anchored to sea bottom;  
18 equally spaced modules per string  
(~1 km<sup>2</sup> footprint in ARCA configuration)

- **Multi-PMT digital optical modules**  
31 X 3" PMTs + expansion cones
- **Time synchronization**  
White rabbit
- **Optical data transmission**  
Base module with DWDM at string anchor  
*All data to shore concept*  
Filtering/Trigger on-shore in computer farm

+ nodes for long term high-bandwidth connection for Earth and Sea sciences  
Optical data transmission



# KM3NeT design and layout

## Detection Units:

- 18 digital optical modules per vertical string
- 31 3" PMTs enclosed in 17" glass sphere
- Lowest optical module ~100 m above seabed
- Two Dyneema® ropes + backbone cable
- (2 copper conductors; 18 fibres (+spares))
- Base module with DWDM at anchor

Layout optimised for physics goals:

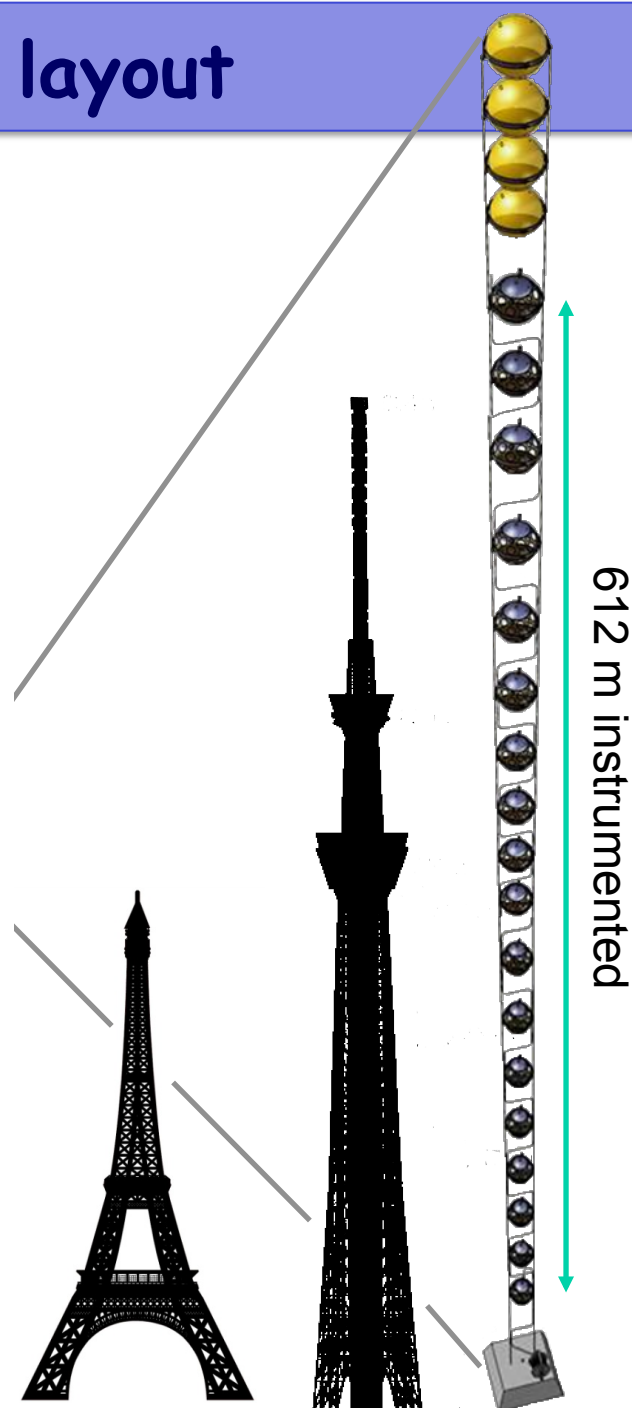
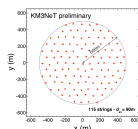
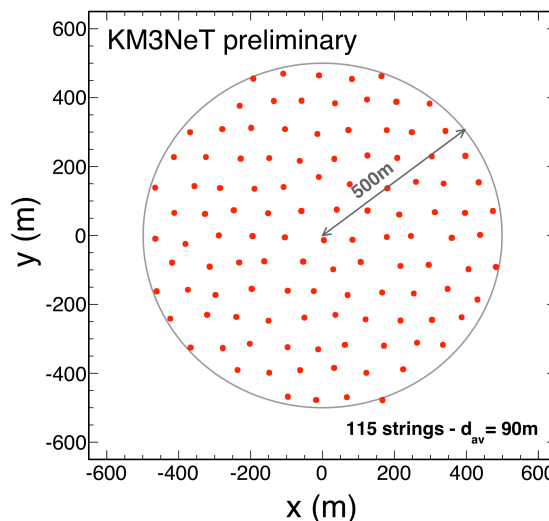
### ARCA blocks:

- 115 lines
- 90m horizontal
- 36m vertical

### ORCA block:

- 115 lines
- 20m horizontal spacing
- 6m\* vertical spacing
- 3.75 → 7 Mton instrumented

*\*still being optimized*



# KM3NeT technology: the multi-PMT DOM

Segmented cathode area: 31 x 3" PMTs

- Directional Sensitivity
- $4\pi$  sr coverage
- Photon Counting

Light concentrator ring

Cathode area:  $\sim 3 \times 10$ -inch PMT

Custom low-power HV bases

LED, piezo, compass and tiltmeter inside

PMT Time-over-Threshold measurements

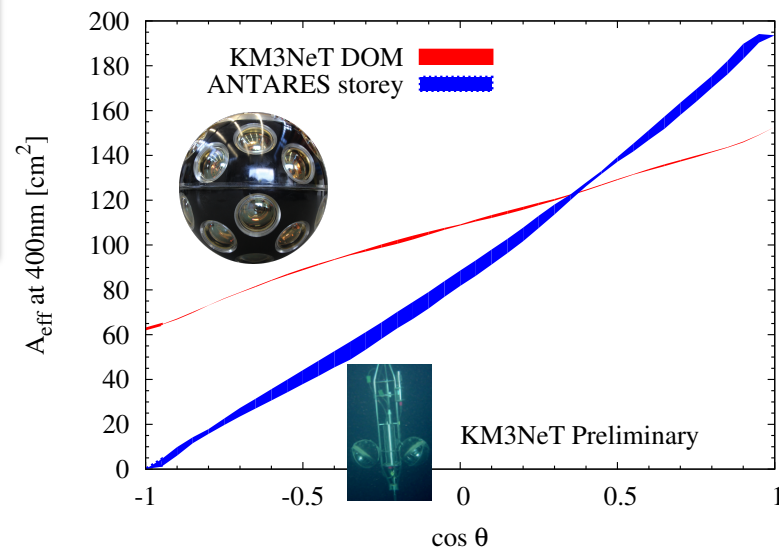
FPGA readout



ETELD792

HamamatsuR12199

HZC XP53B20



# A phased implementation

## BLOCKS

## PRIMARY DELIVERABLES

	BLOCKS	PRIMARY DELIVERABLES
PHASE 1	0.25	shore and deep-sea infrastructure at KM3NeT-Fr and KM3NeT-It + 31 lines (3-4 x ANTARES) <b>Proof of feasibility &amp; first results</b>
PHASE 2	2 ARCA	Measurement of IceCube signal <b>All-flavour neutrino astronomy</b>
	1 ORCA	<b>Neutrino mass hierarchy</b>
PHASE 3	6 (+1)	Neutrino astronomy including Galactic sources (...and a beam to ORCA ?)

31 M€  
FUNDED  
and  
ONGOING

+95 M€

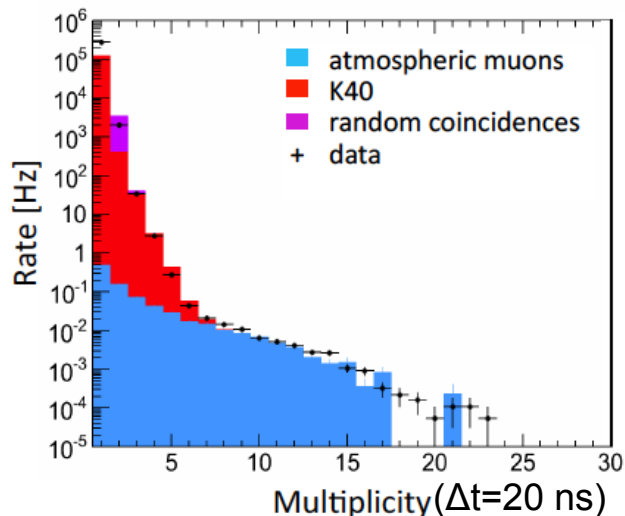
80-90 M€  
Letters of  
Intent by  
end 2015  
30-40 M€

+110 M€

220-250 M€  
ESFRI  
Roadmap

# The prototypes

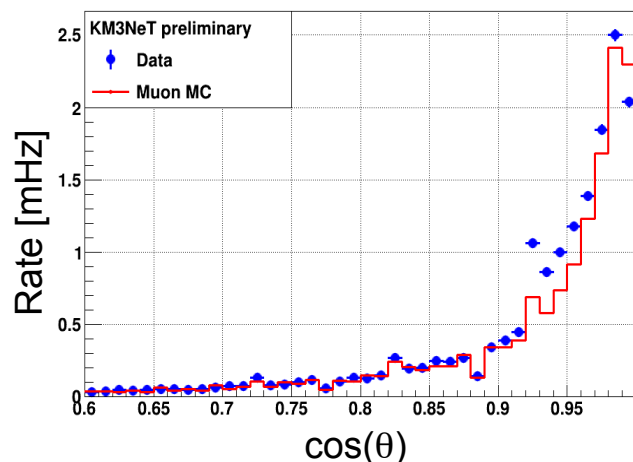
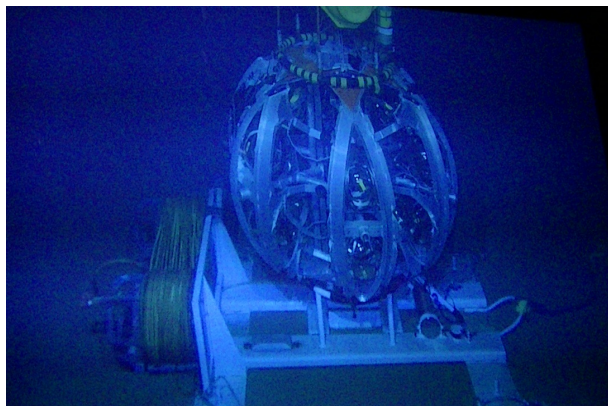
1) April 2013: Optical module deployed at ANTARES (2500 m)



✓ Validation of photon counting & directionality performance

Eur. Phys. J. C (2014) 74:3056

2) May 2014: Mini string (3 storeys) deployed at Capo Passero



✓ First benchmark of DU integration and deployment

✓ Smooth operation and data taking

✓ Muon track reconstruction capabilities !

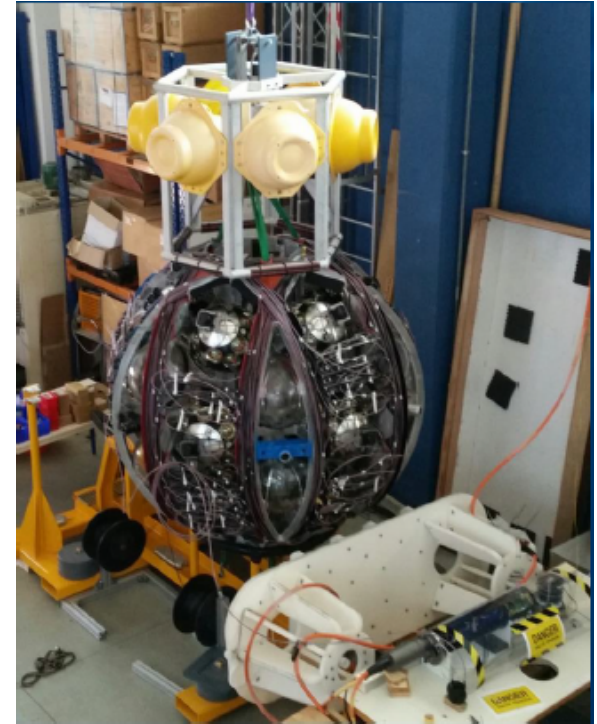
# Status of Phase-1

- First string assembled, tested and integrated on the LOM @ CPPM (Marseille)

➔ DU-1 to be deployed at KM3NeT-Fr following node repair operation

- Second string assembled @ NIKHEF and ready to be shipped to Italy

➔ DU-2 to be deployed at KM3NeT-It in December 2015



Phase-1: ~0.25 building block

- 24 strings ARCA-style in KM3NeT-It
  - 6 strings ORCA-style in KM3NeT-Fr
- Completion expected in 2017

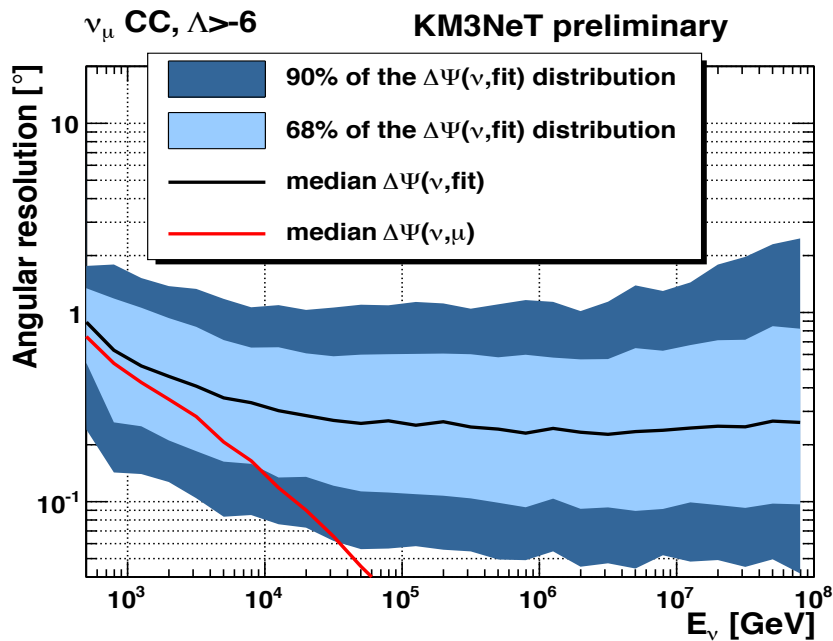
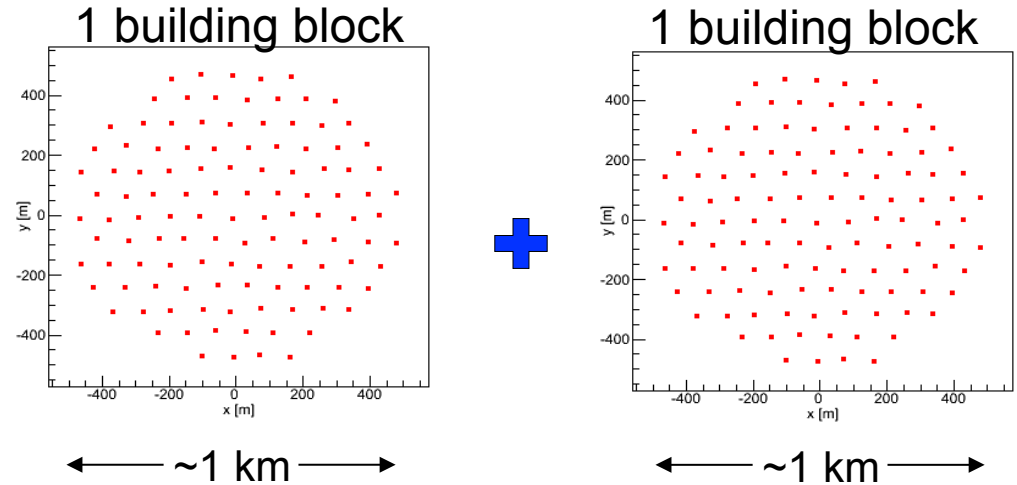


# ARCA: expected performances

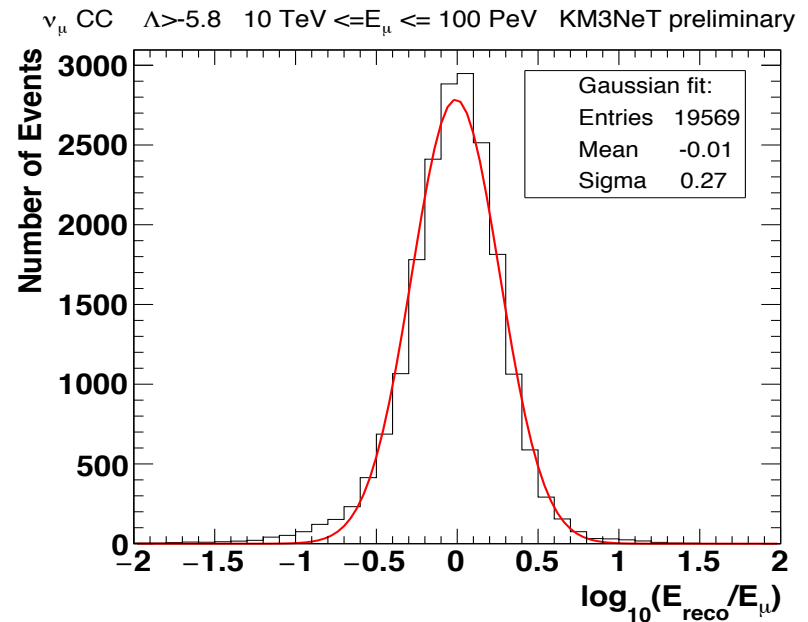
ARCA Phase-2:  
2 building blocks  
@ KM3NeT-It

Measurement of  
IceCube signal

Good reconstruction performances  
on tracks (muon CC channel)



Neutrino direction  $0.3^\circ$  @ 10 TeV



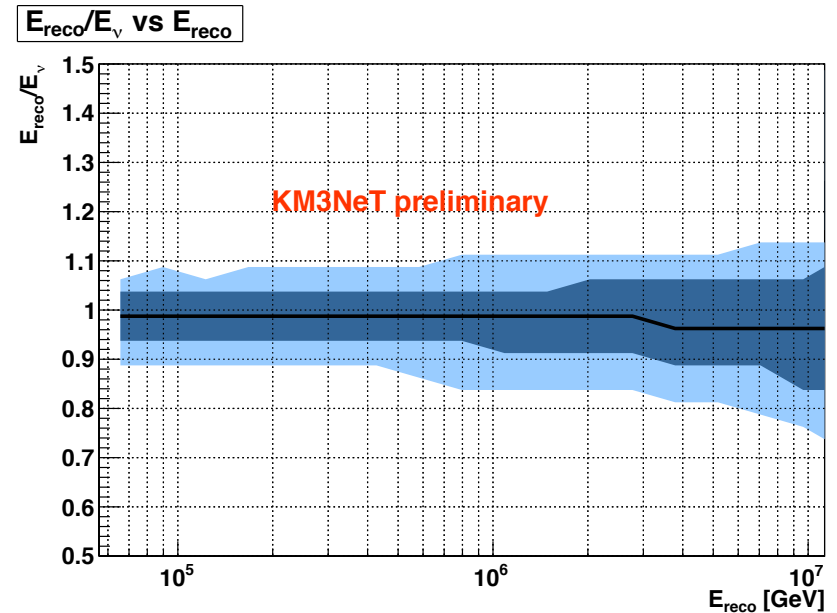
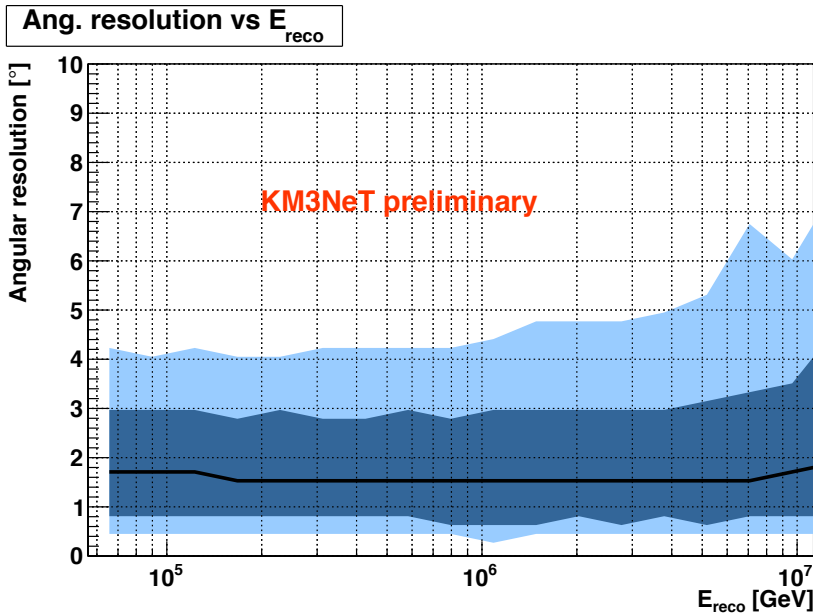
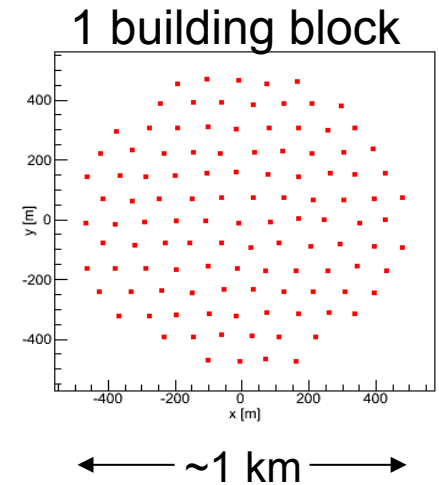
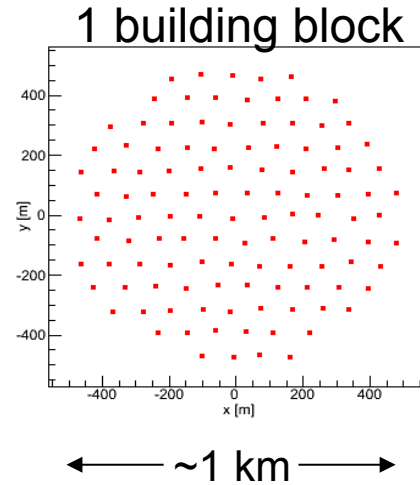
Muon energy: factor  $\sim 2^{\pm 0.5}$  @ 10 TeV

# ARCA: expected performances

ARCA Phase-2:  
2 building blocks  
@ KM3NeT-It

Measurement of  
IceCube signal

Good reconstruction performances  
on tracks (muon CC channel)  
...and showers (here: electron CC)



Cascade direction: median 2°

Cascade energy: 5% accuracy

# ARCA: sensitivity to diffuse flux

Characterised by time to re-discover nominal IceCube flux:

$$\Phi(E) = 1.2 \cdot 10^{-8} (E/1 \text{ GeV})^{-2} \exp(-E/3 \text{ PeV}) \text{ GeV}^{-1} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \text{ flavour}^{-1}$$

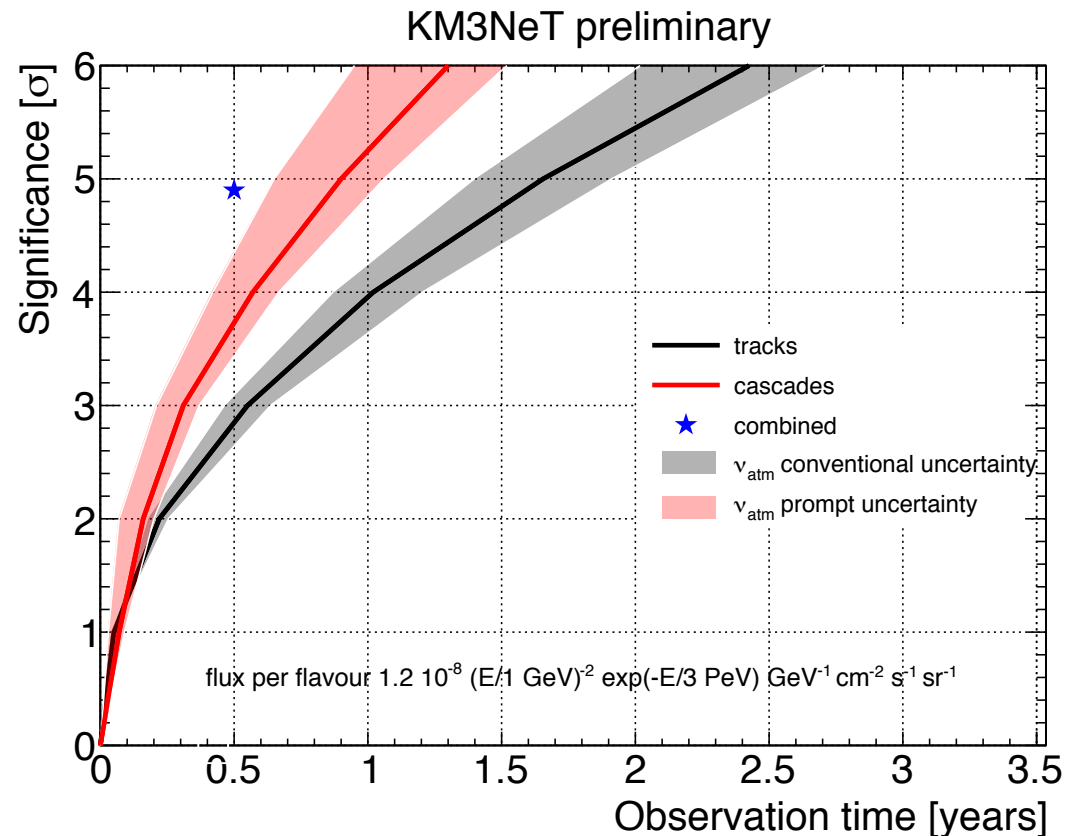
5 sigma significance:

Tracks: 1.5-2yr

Cascades: < 1 yr

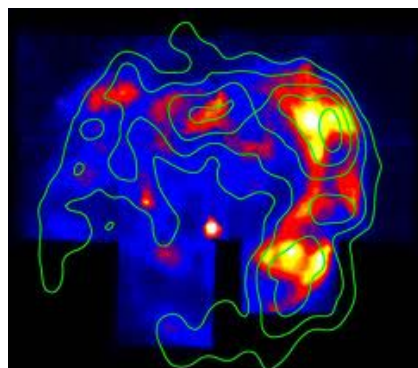
Combined: ~6 months

Atmospheric  $\mu$  self-veto:





# ARCA: sensitivity to Galactic point sources



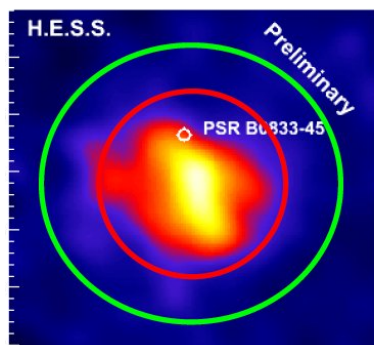
RXJ 1713:

$$\Phi(E) = 1.68 \cdot 10^{-14} \left( \frac{E}{1 \text{ TeV}} \right)^{-1.72} \exp\left(-\sqrt{\frac{E}{2.1 \text{ TeV}}}\right) \text{ GeV cm}^{-2} \text{ s}^{-1}$$

Spectral cutoff expected at a few TeV

Point-like source: search using the muon channel

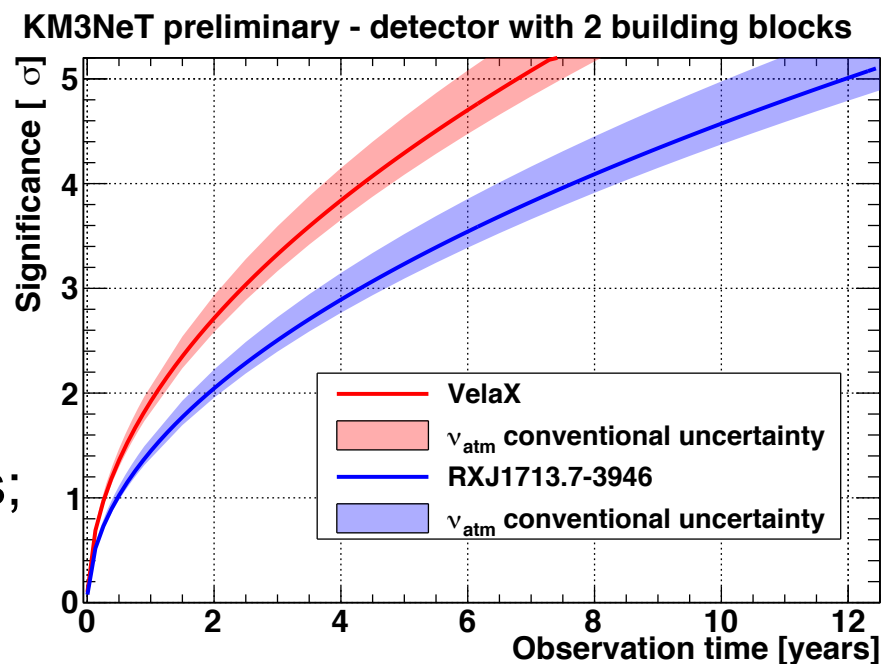
*S.R. Kelner, et al., Phys. Rev. D 74 (2006) 034018*



VelaX

$$\Phi(E) = 7.2 \cdot 10^{-15} \left( \frac{E}{1 \text{ TeV}} \right)^{-1.36} \exp\left(-\frac{E}{7 \text{ TeV}}\right) \text{ GeV cm}^{-2} \text{ s}^{-1}$$

Spectrum extends to higher energies;  
Sharper cutoff



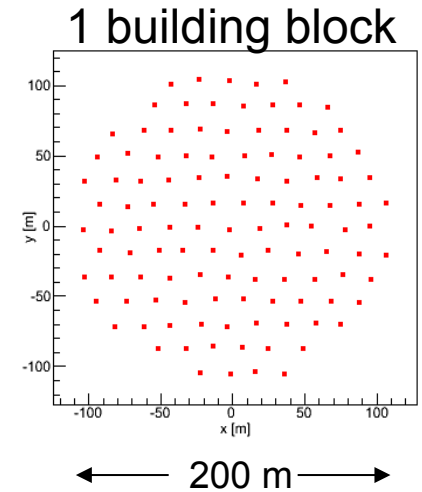
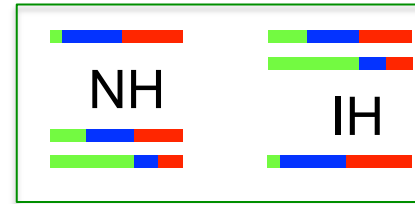
*F.L. Villante and F. Vissani,  
Phys. Rev. D 78 (2008) 103007*

# ORCA: expected performances

## ORCA Phase-2: 1 building block @ KM3NeT-Fr

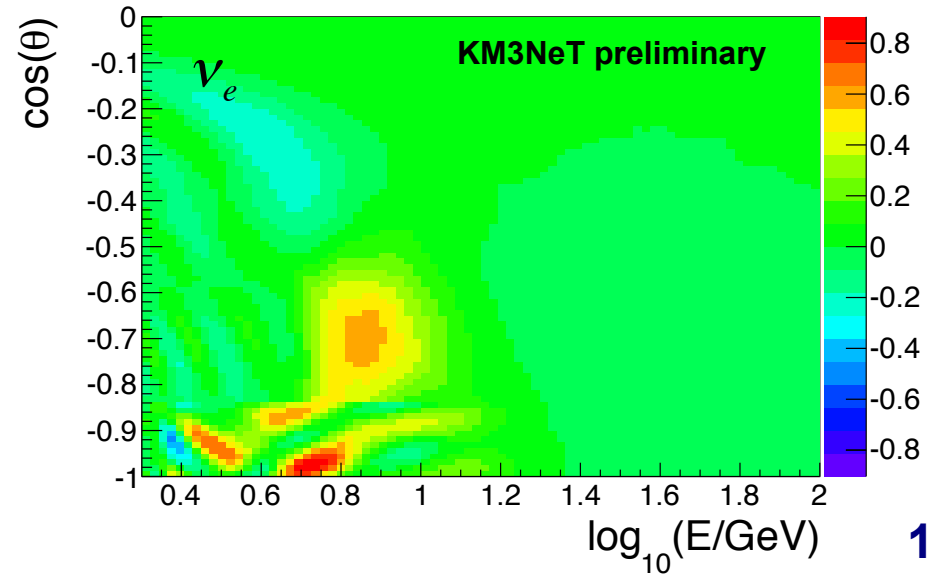
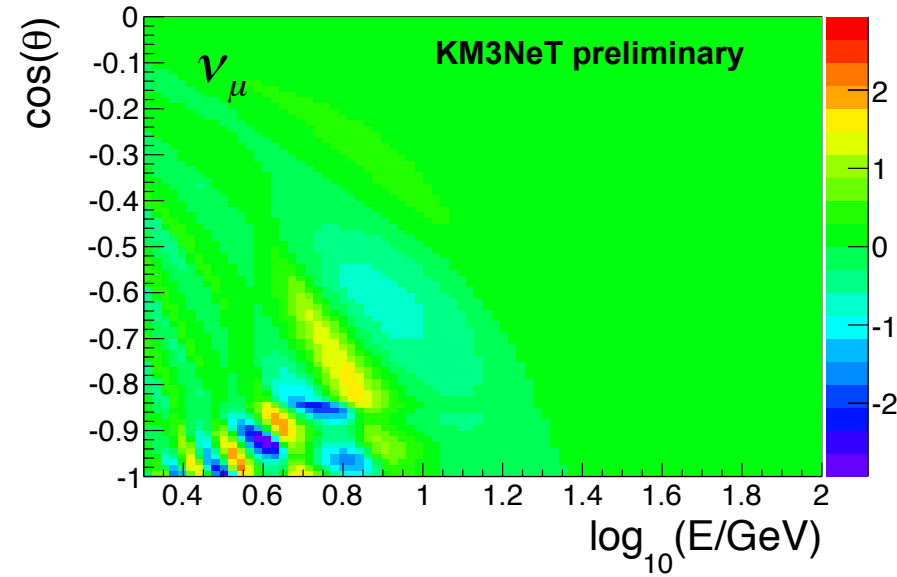
Measurement of the neutrino mass hierarchy with atmospheric neutrinos in the 1 – 20 GeV energy range

dense layout: 20m horizontal (inter-line) spacing  
6 -- 12m vertical (inter-DOM) spacing  
(still under optimisation)



« Significance » oscillogram:  $\frac{N_{NH} - N_{IH}}{\sqrt{N_{NH}}}(E_\nu, \theta_z)$

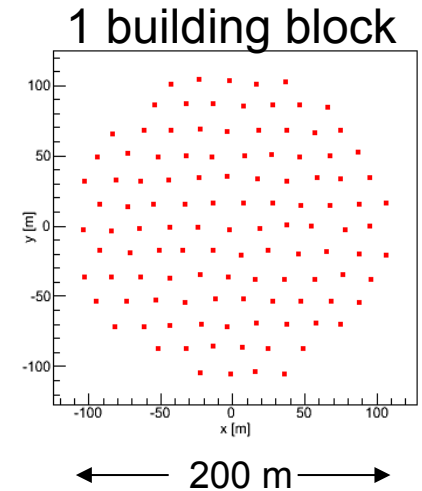
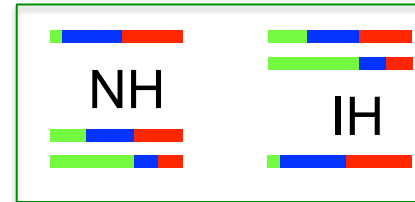
« Perfect detector »



# ORCA: expected performances

## ORCA Phase-2: 1 building block @ KM3NeT-Fr

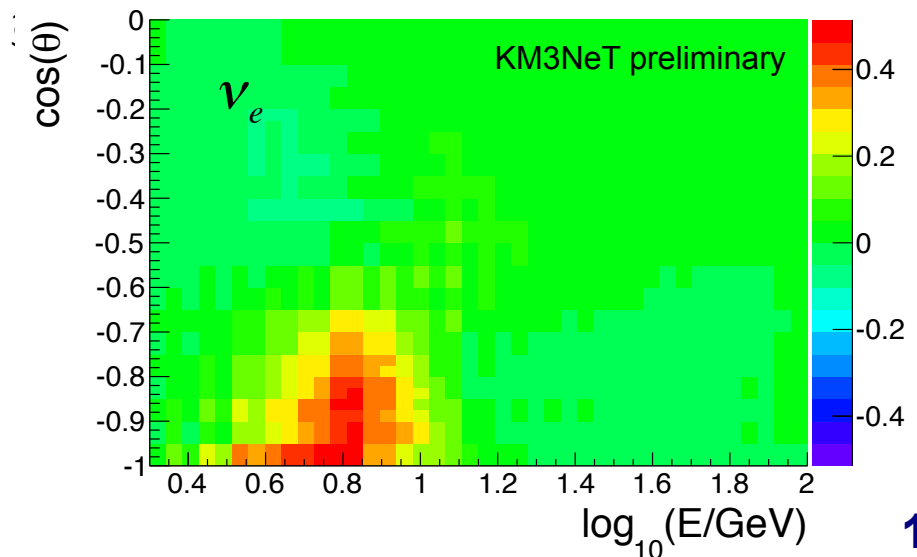
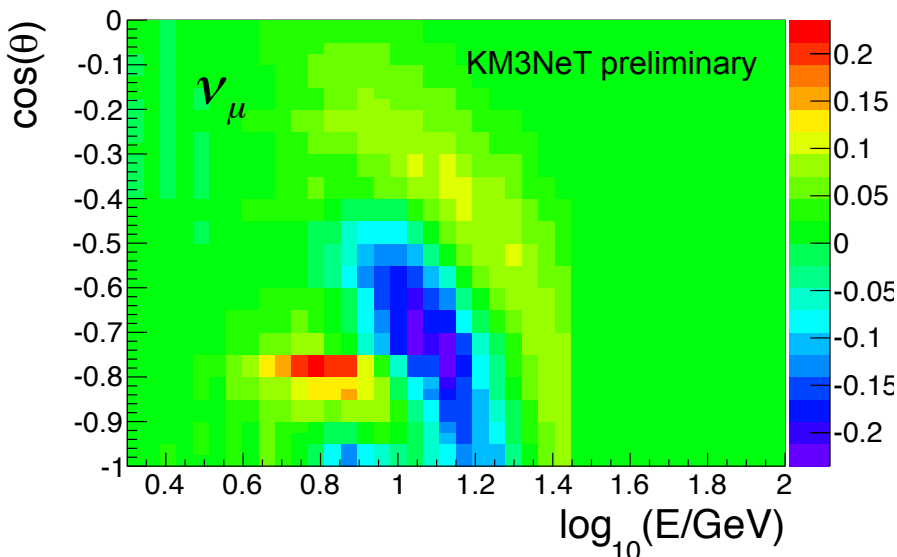
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dense layout: 20m horizontal (inter-line) spacing  
6 -- 12m vertical (inter-DOM) spacing  
(still subject to optimisation)

« Significance » oscillogram:  $\frac{N_{NH} - N_{IH}}{\sqrt{N_{NH}}}(E_\nu, \theta_z)$

After reconstruction



# ORCA: expected performances

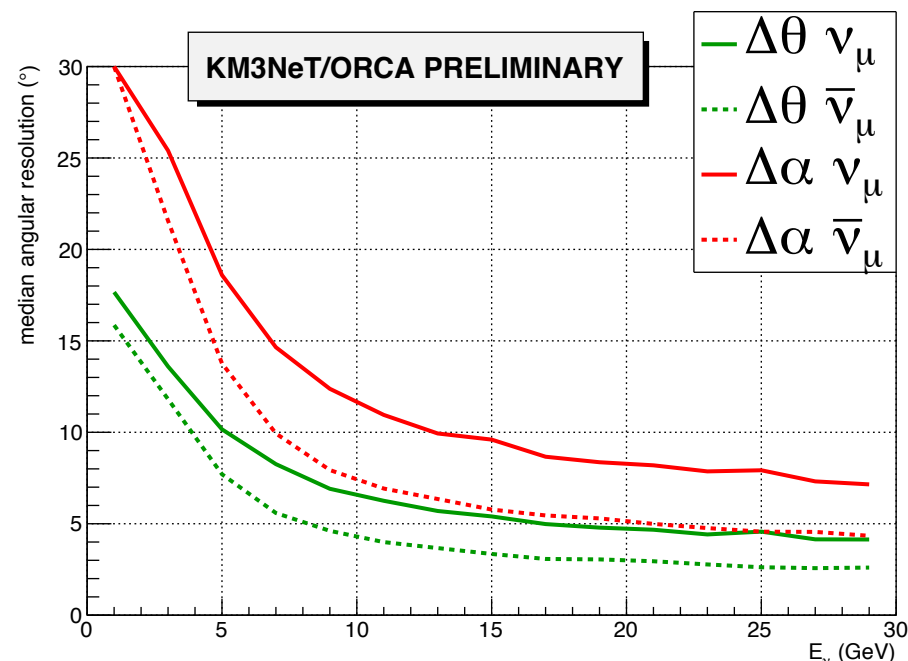
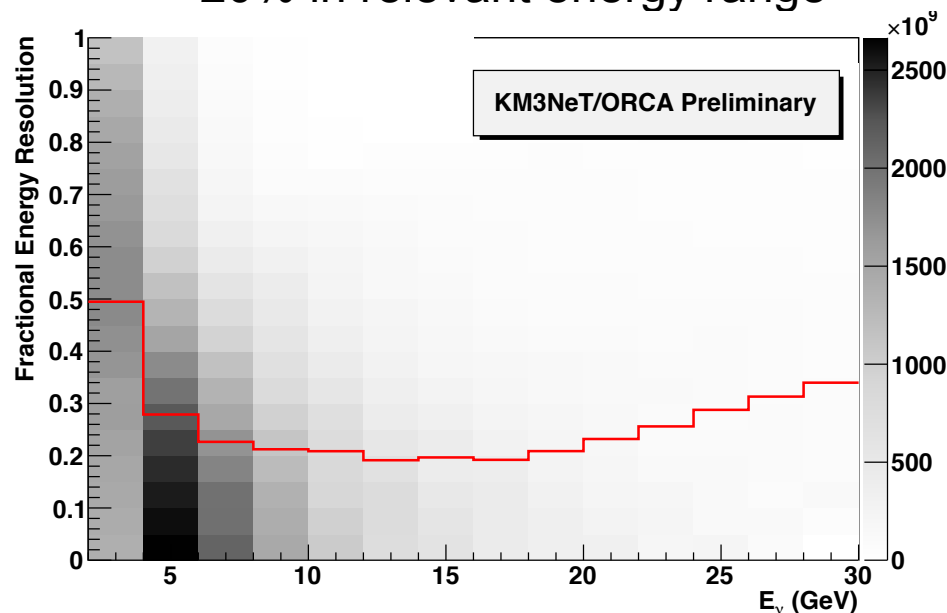
Track channel: target  $\nu_\mu$  CC events

Track fit: max likelihood method based on full simulation PDFs

Neutrino energy from track length, number of hits and inelasticity

Energy resolution  
~20% in relevant energy range

Angular resolution (zenith)  
<math>10^\circ</math> @ 5 GeV  
(dominated by kinematics)



+ sensitivity on Bjorken  $y$  ! (from hit time residuals distribution)

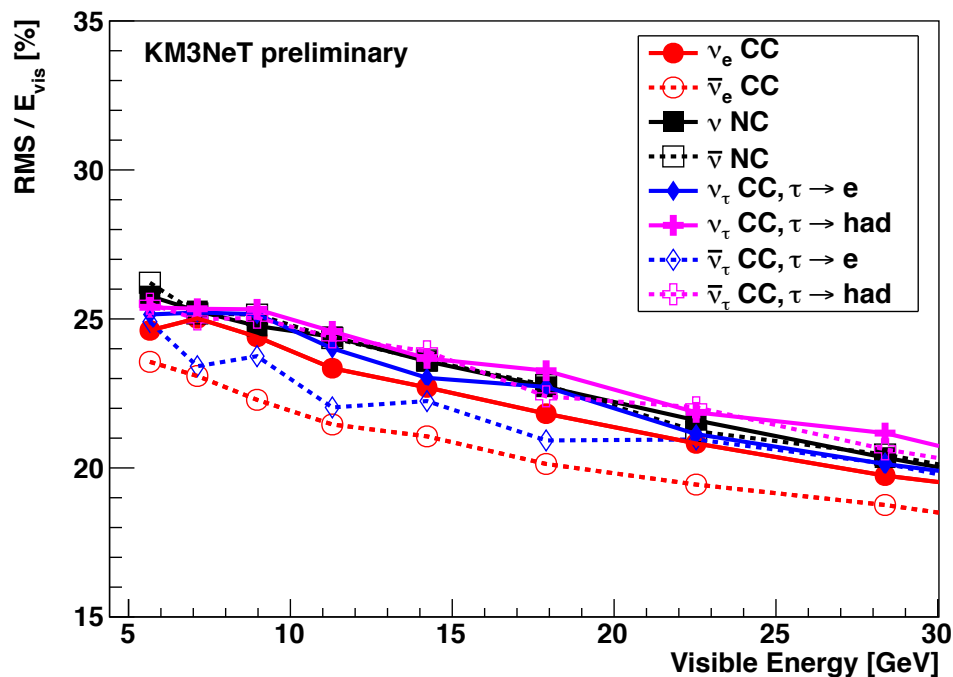
→ neutrino-antineutrino discrimination capabilities

# ORCA: expected performances

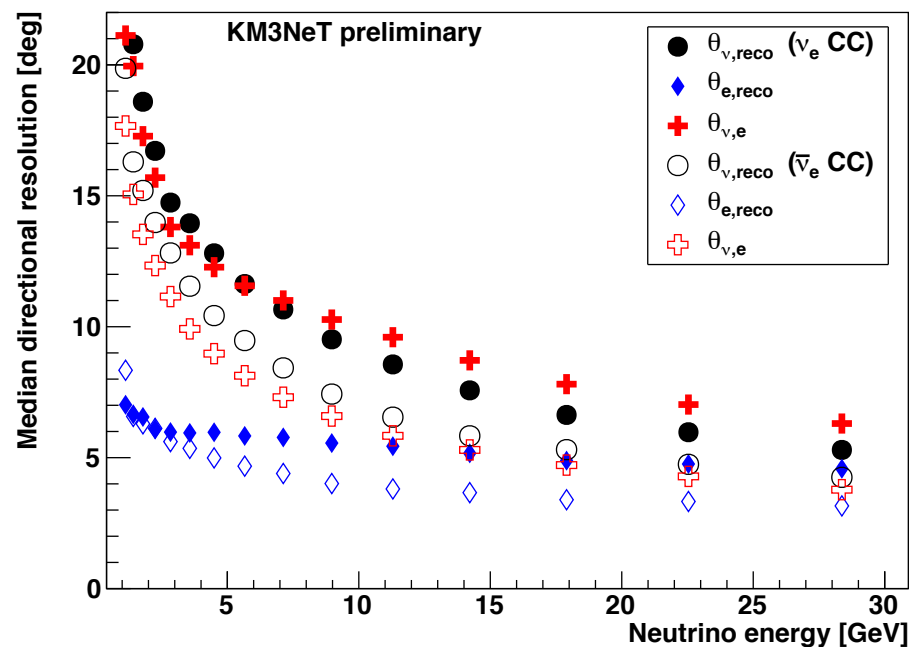
Cascade channel: target  $\nu_e$  CC events

Reconstruct vertex from time residuals; then  $E, \theta$ , inelasticity from max likelihood fit

Energy resolution <25%



Angular resolution <10° @ 10 GeV  
(dominated by kinematics)



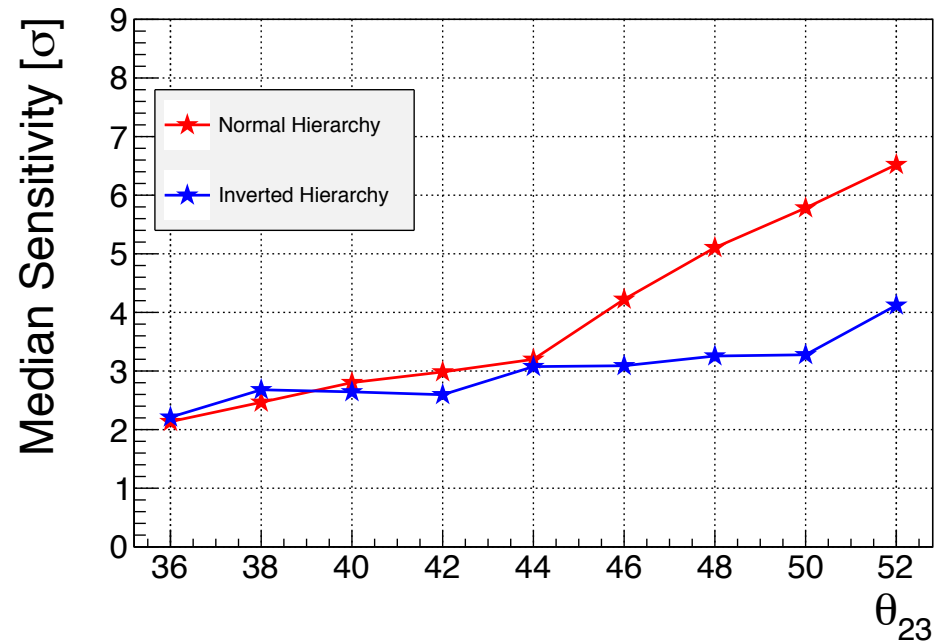
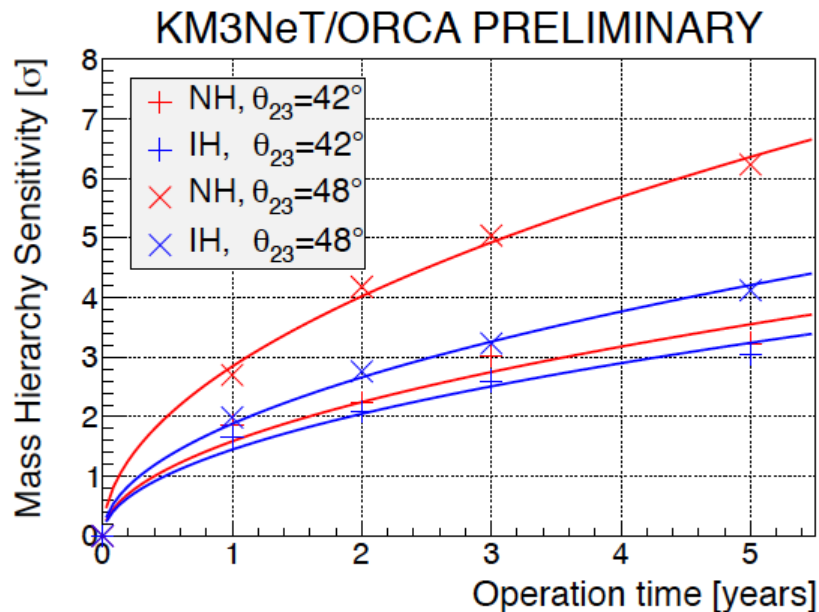
+ sensitivity on Bjorken  $y$  ! (from relative strength of Cherenkov peak)

→ neutrino-antineutrino discrimination capabilities

...studies ongoing

# ORCA: sensitivity to mass hierarchy

Projected sensitivity:  $\sim 3\sigma$  in 3 years, depending on true values of  $\theta_{23}$  and  $\delta_{CP}$



- ✓ Track vs shower event classification
- ✓ Full MC detector response matrices including misidentified and NC events
- ✓ Atmospheric muon contamination
- ✓ Neutral current event contamination
- ✓ Various Systematic uncertainties

(here:  $\delta_{CP}$  fixed to 0)

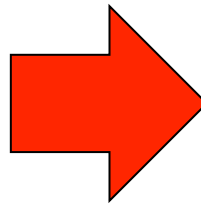
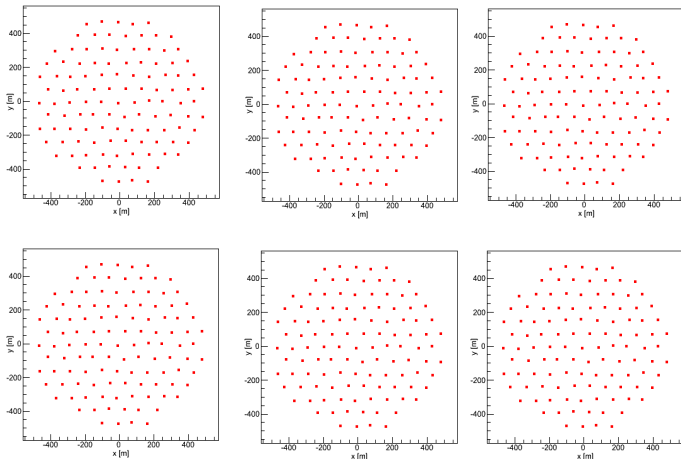
+ still room for improvement:  
Bjorken-y, detector optimisation...

# Summary and outlook

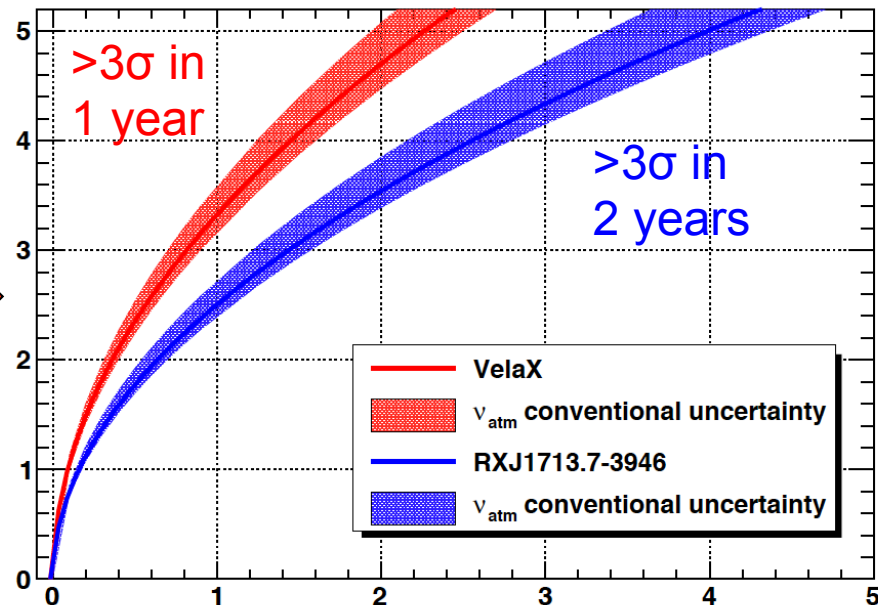
## KM3NeT: phased approach to next-generation neutrino telescope in the Mediterranean

- technology and detection performances validated by prototypes
- deployment of the first detection units in upcoming months (Phase 1):
  - KM3NeT-It → ARCA: HE neutrino astronomy (tracks & showers!)**
  - KM3NeT-Fr → ORCA: neutrino mass hierarchy**
- Letters of Intent in preparation
- a taste of neutrino astronomy to come (Phase 3):

### ARCA Phase 3



### KM3NeT preliminary - detector with 6 building blocks

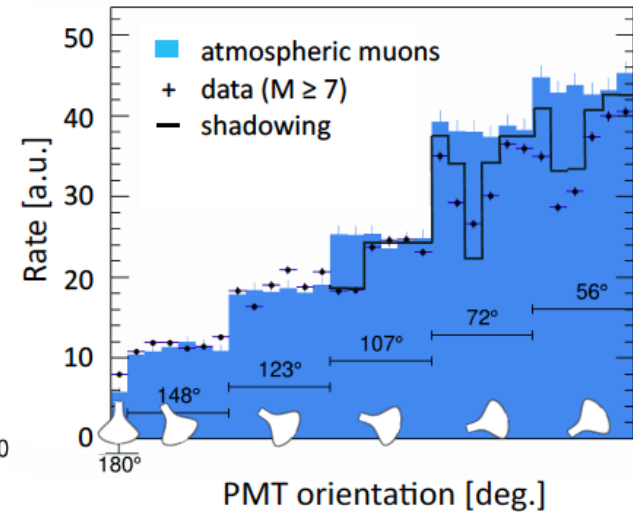
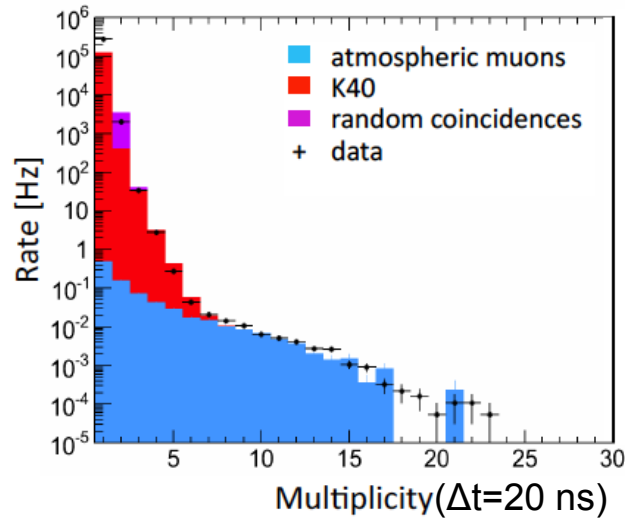
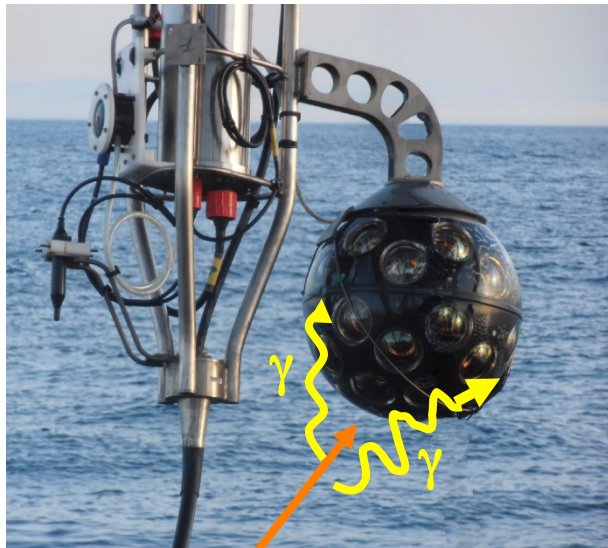


**BACKUP SLIDES**



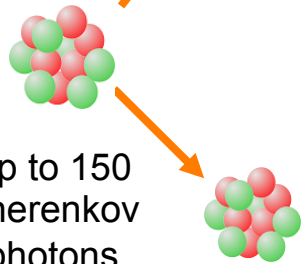
# KM3NeT: status of Phase-1

April 2013: First DOM installed on ANTARES instrumented line (KM3NeT-Fr)



Validates photon counting & directionality performances

$^{40}\text{K}$   $e^-$  ( $\beta$  decay)



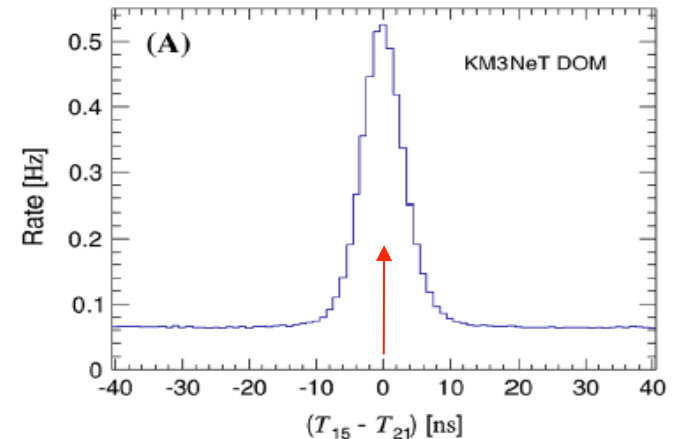
$^{40}\text{K}$  decay provides intra-DOM time calibration:

(coincidence rate  $\sim 5$  Hz on neighbouring PMTs)

Eur. Phys. J. C (2014) 74:3056

Up to 150 Cherenkov photons per decay; stable  $^{40}\text{K}$  concentration

$^{40}\text{Ca}$



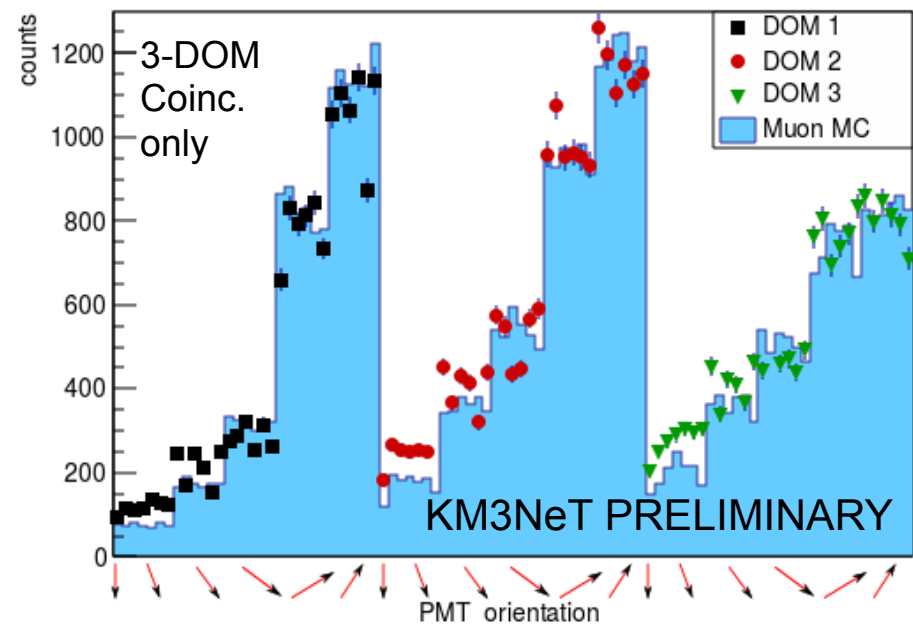
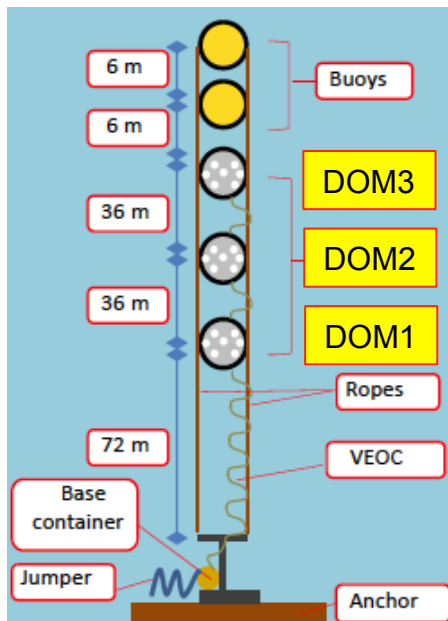
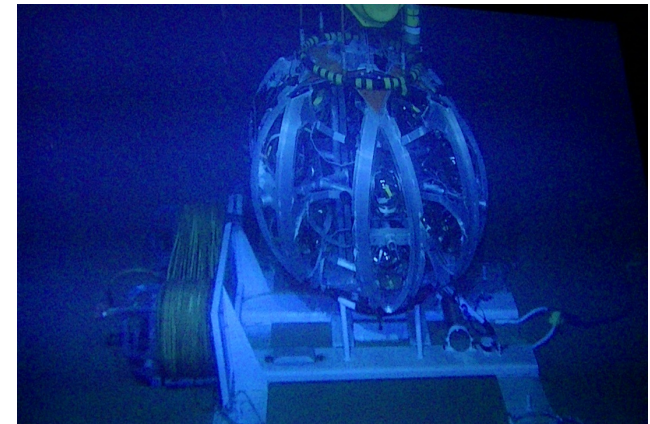
# KM3NeT: status of Phase-1

May 2014: prototype deployed at KM3NeT-It

Reduced-size detection unit (DU) with 3 DOMs, equipped with Vertical Electro-Optical Cable

- First benchmark of DU integration and deployment
- Smooth operation and data taking

 Paper in preparation!



# The ANTARES neutrino telescope

Mediterranean Sea

450 m

14,5 m

40 km to shore

60 -70 m



- 12 lines
- 25 storeys/line
- 3 PMTs / storey
- 885 10" PMTs
- ~15 Mton  
instrumented volume
- + instrumentation line
- + acoustic array AMADEUS
- + secondary junction box  
for Earth/marine science

# ORCA: Oscillation Research with Cosmics in the Abyss

Measuring the neutrino mass hierarchy with atmospheric neutrinos:

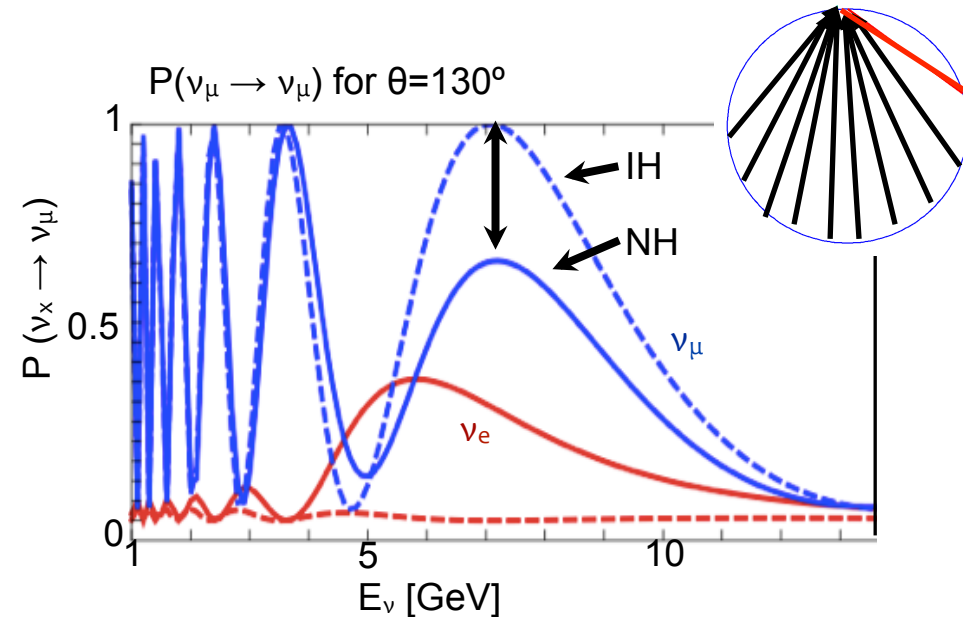
- a « free beam » of known composition ( $\nu_e, \nu_\mu$ )
- wide range of baselines (50  $\rightarrow$  12800 km) and energies (GeV  $\rightarrow$  PeV)
- oscillation pattern distorted by Earth matter effects (hierarchy-dependent):

maximum difference IH  $\leftrightarrow$  NH at  $\theta=130^\circ$  (7645 km) and  $E_\nu = 7$  GeV

- opposite effect on anti-neutrinos: IH( $\nu$ ) $\approx$ NH( $\bar{\nu}$ )

BUT differences in flux, cross-section:

$$\Phi_{\text{atm}}(\nu) \approx 1.3 \times \Phi_{\text{atm}}(\bar{\nu})$$
$$\sigma(\nu) \approx 2\sigma(\bar{\nu}) \text{ at low energies}$$



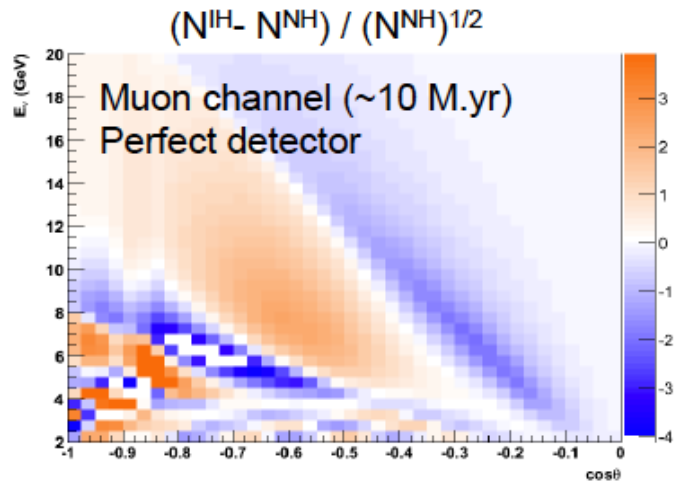
opportunity for Mton water Cherenkov detectors (even without charge ID!)

increased case since improved knowledge of  $\theta_{13}$ :

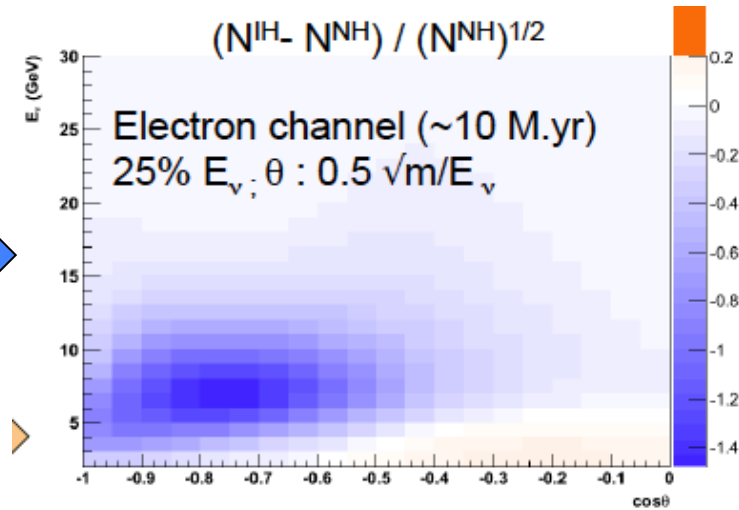
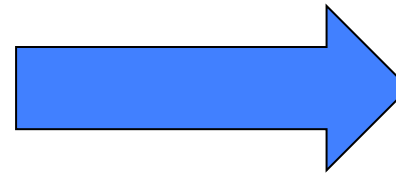
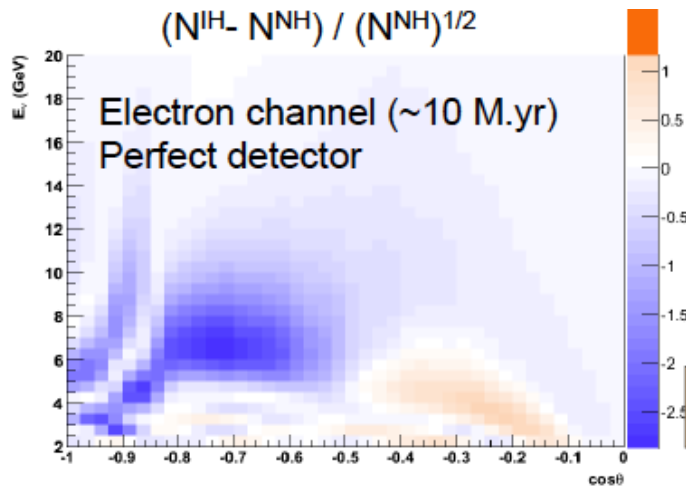
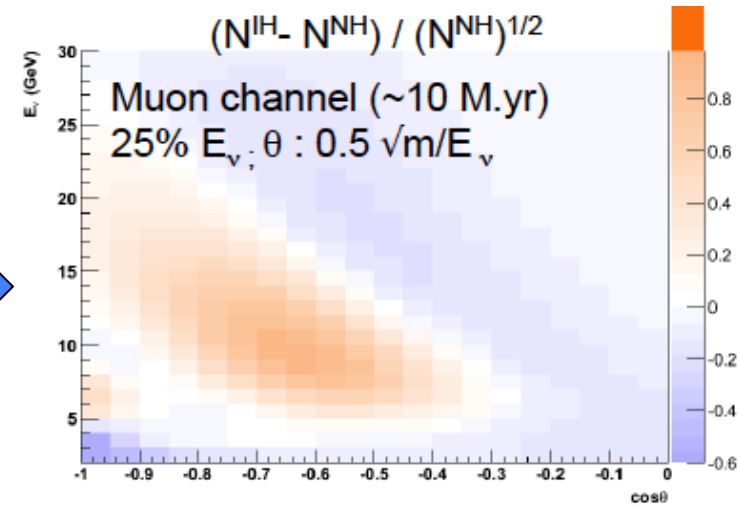
Akmedov, Razzaque & Smirnov, JHEP 02 (2013) 082

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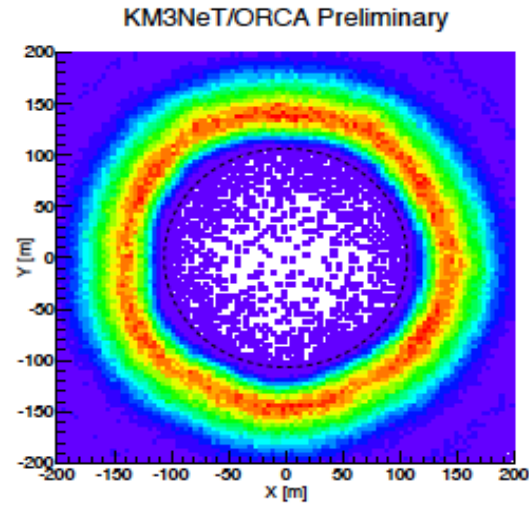
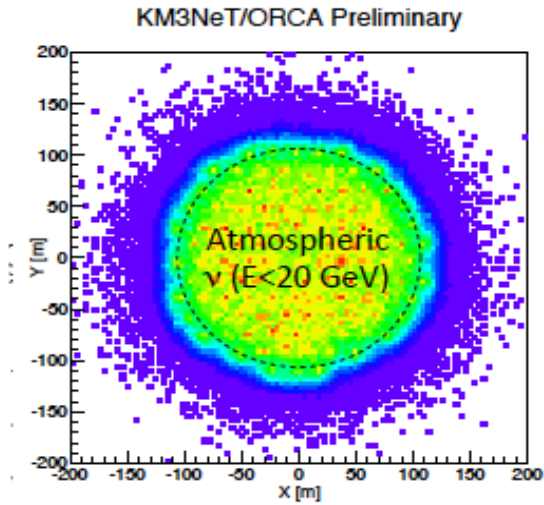
Both muon- and electron-channels contribute to net hierarchy asymmetry  
electron channel more robust against detector resolution effects:



$E, \theta$  smearing  
(kinematics  
+ detector  
resolution)

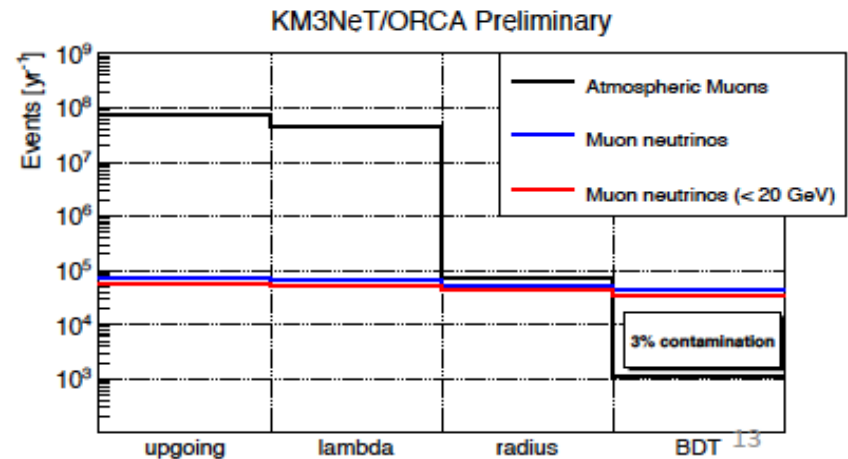


## Atmospheric muon rejection



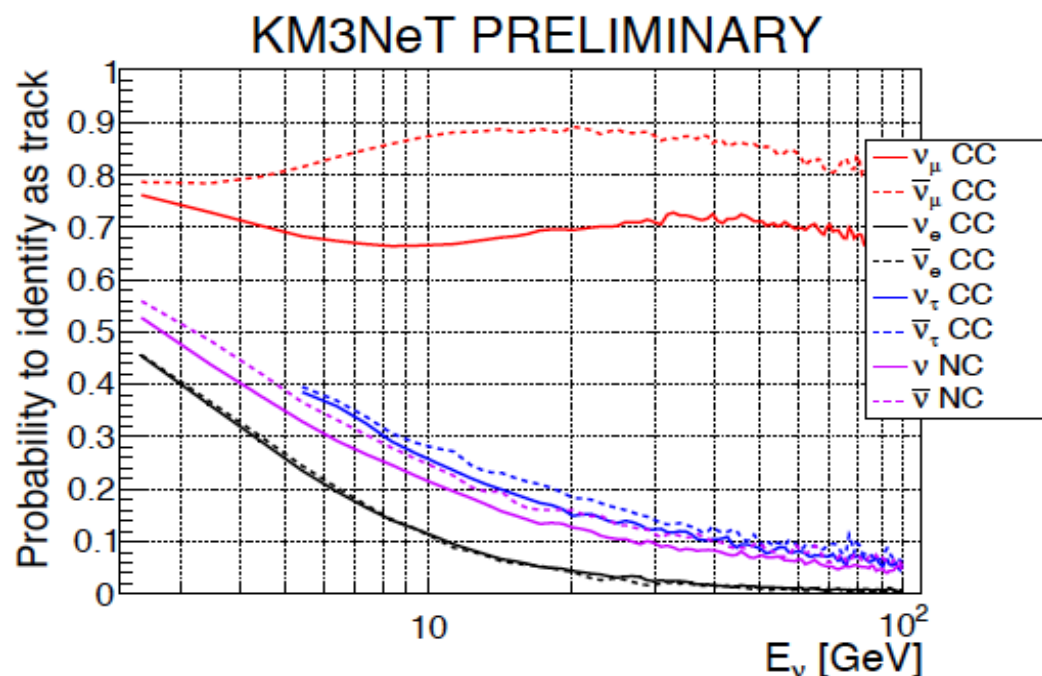
Instrumental veto  
not mandatory

Few % contamination achievable  
without too strong signal loss



# ORCA: Oscillation Research with Cosmics in the Abyss

## Particle ID



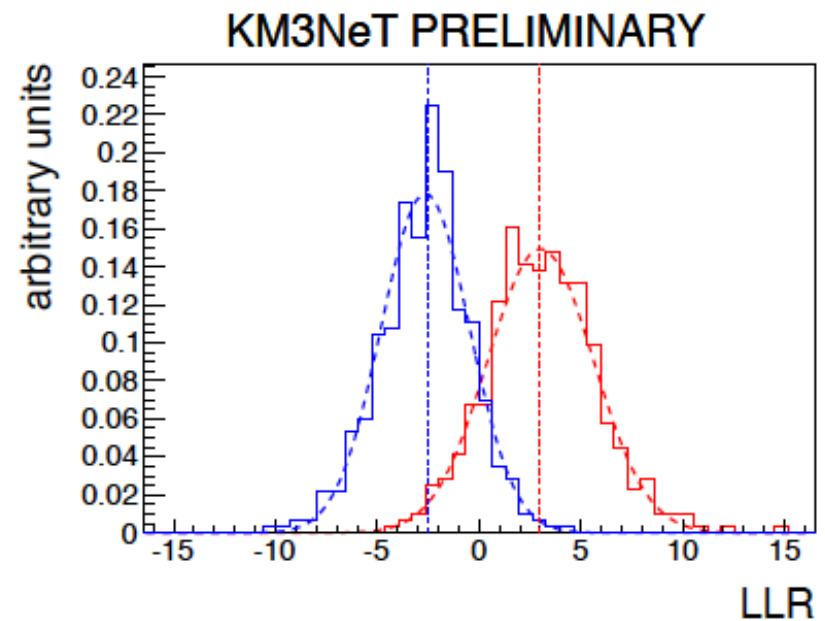
- ▶ Random Decision Forest
- ▶ Many decision trees trained on MC events
- ▶ e-like CC events better than 90% above 10 GeV
- ▶ mu-like CC events around 80% (better for  $\bar{\nu}_\mu$ , worse for  $\nu_\mu$ ).

*Probability that the PID algorithm identifies an event as a track as a function of the true neutrino energy. The lines denote different interaction types.*

# ORCA: Oscillation Research with Cosmics in the Abyss

## Sensitivity Study - Method

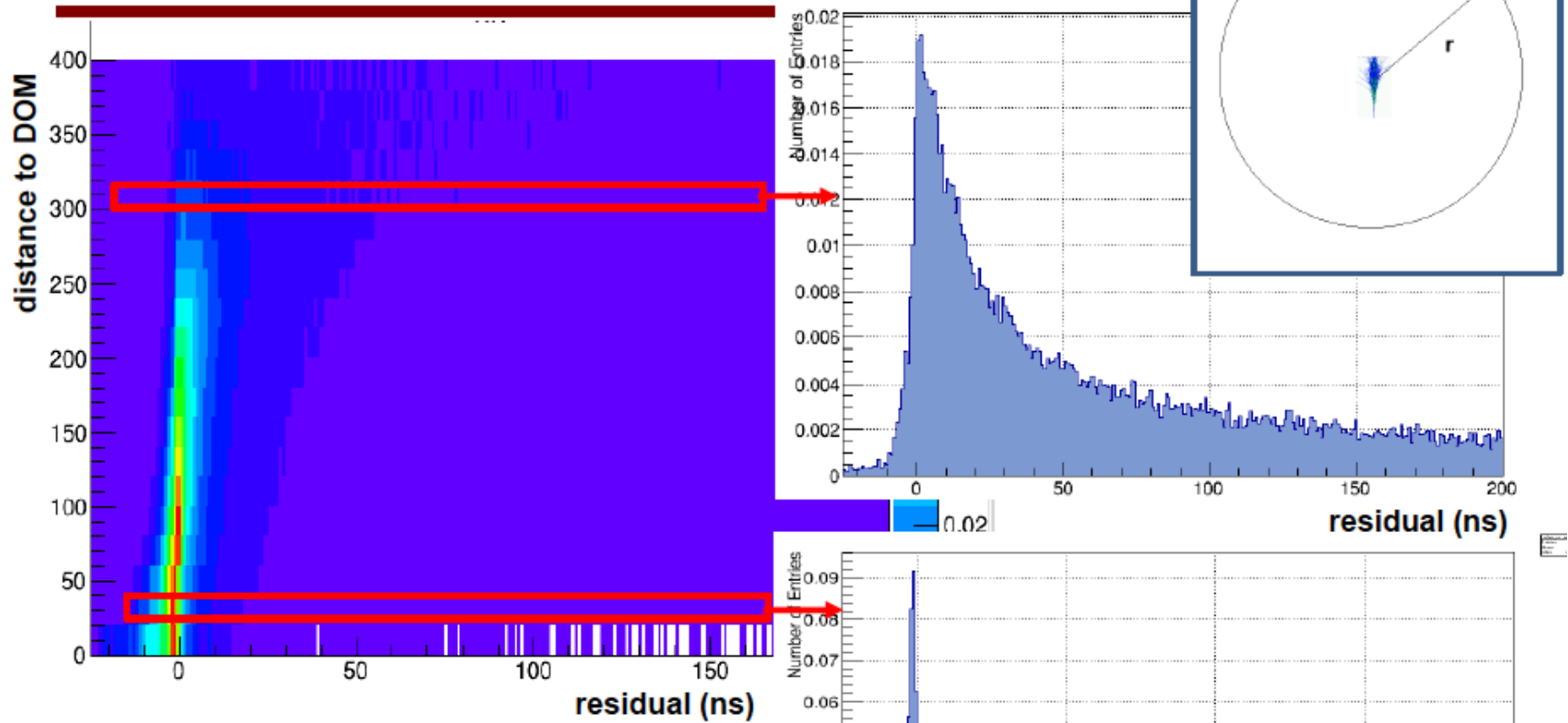
- ▶ Pseudo-experiments (PEs)
- ▶ Fit assuming NH and assuming IH
  - ▶ Maximize Likelihood of PE w.r.t. oscillation parameters and systematics
- ▶ Log likelihood ratio (LLR)  
 $\log(L_{\text{NH}}) - \log(L_{\text{IH}})$  as discriminating variable
- ▶ LLR distributions for NH and for IH
- ▶ Figure of merit: median sensitivity  
= distance in  $\sigma$ 's between the medians



*Example LLR distributions with Gaussian fits. Red (blue) shows true NH (IH) pseudo-experiments. The dashed lines indicate the medians.*



## Cascade signature in water: time

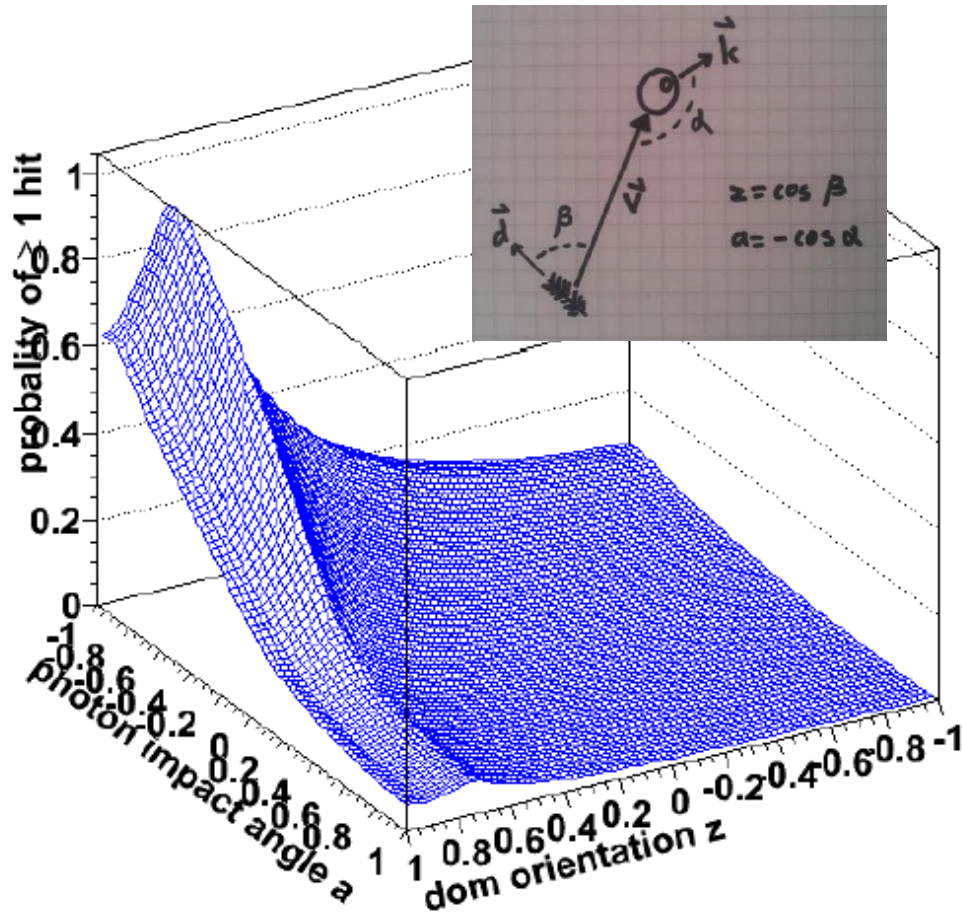


Concerning arrival times:

- spherically expanding shell of light around shower maximum
- allows accurate vertex resolution
- To first order *no direction* information in timing.

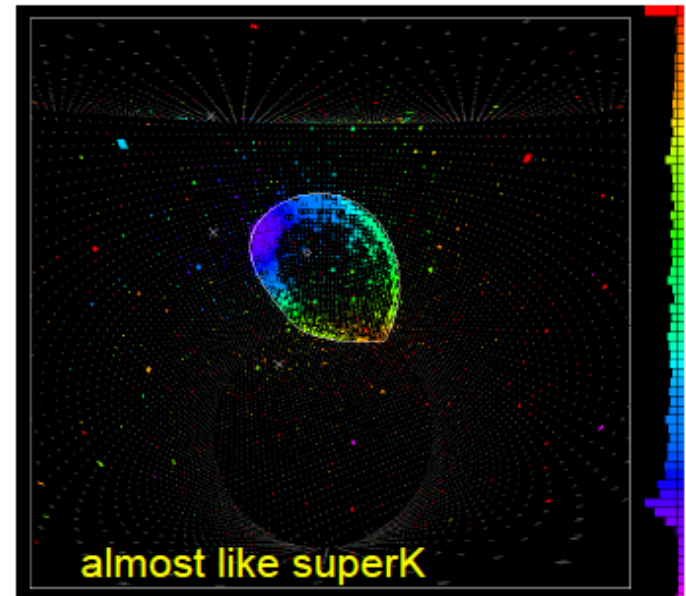
## Cascade signature in water: intensity

PDF for  $E = 1$  PeV at  $r = 250$  m



need to measure the light amplitude (ToT)

- Light is beamed in the Cherenkov direction.
- Pattern remains at large distances from the shower.
- energy independent!



## 3-inch PMTs

### Key features:

- timing  $\leq 4.5$  ns (FWHM)
- QE  $\geq 25$ -30%
- collection efficiency  $\geq 90\%$
- photon counting purity 100% (by hits, up to 7)
- price/cm<sup>2</sup>  $\leq 10''$  PMT

ETEL D792



Hamamatsu R12199



HZC XP53B20



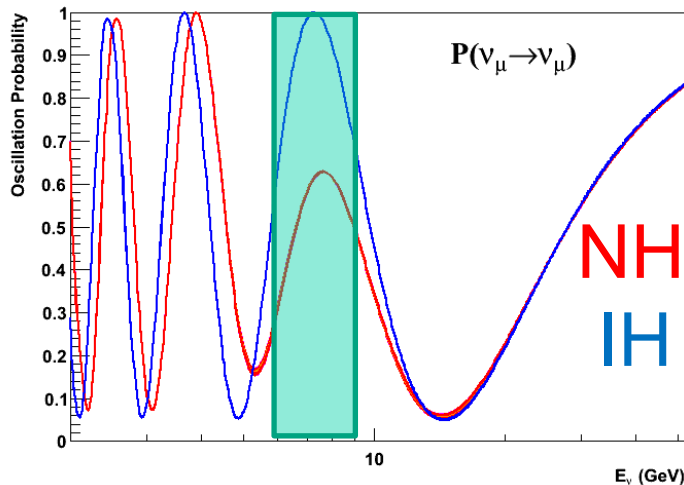
# A neutrino beam to ORCA ?

## ❖ Counting MUONS from a neutrino beam

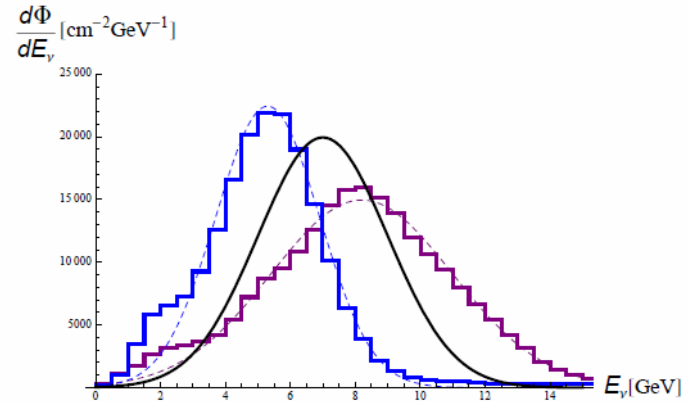
*F. Vissani et al., Eur.Phys.J. C73 (2013) 2439*

Optimal beamline for NH/IH separation:  
7000-8000 km

GLOBES  $\cos\theta = 0.6$ , baseline = 7645 km  
(beam inclination  $\sim 37^\circ$ )



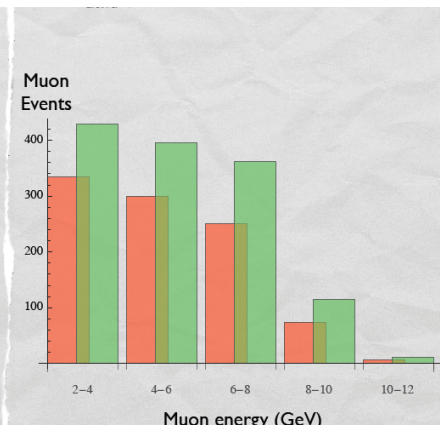
Favoured Option: FermiLab  $\rightarrow$  KM3Net  
site in Mediterranean Sea  
1300 versus 950 events for both mass  
hierarchy hypotheses in Mton  
underwater detector (ORCA)



Narrow-band beam 6-9 GeV,  $10^{20}$   
pot

	Fermilab	CERN	J-PARC
South Pole	11600	11800	11400
Sicily	<b>7800</b>	1230	9100
Baikal Lake	8700	6300	3300

- ... 950 events for normal hierarchy...
- ... and 1300 events for inverted hierarchy.
- 30% difference, as expected: bunched in time, directional, with a "hard" spectrum.



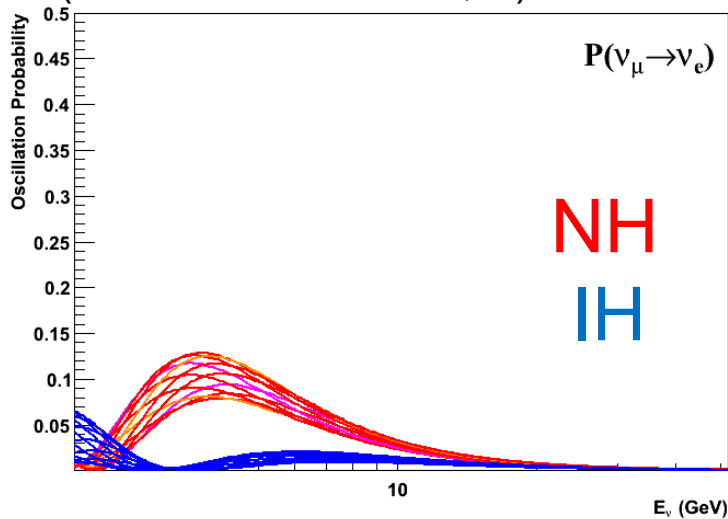
# A neutrino beam to ORCA ?

## ❖ Counting ELECTRONS from a neutrino beam

*J. Brunner, arXiv:1304.6230*

Optimal beamline for NH/IH separation:  
~2600 km (largest difference in event rates)

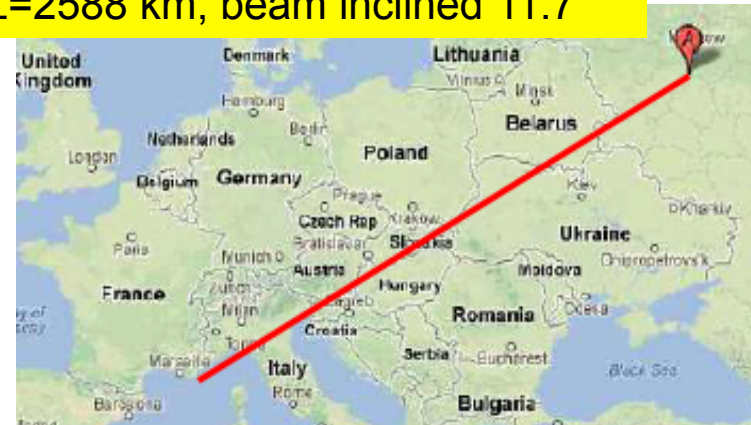
GLOBES  $\cos\theta = 0.2$ , baseline = 2548 km  
(beam inclination  $\sim 11,5^\circ$ )



- moderate inclination
- almost insensitive to  $\delta_{CP}$

A possible option: Protvino (Proton Accelerator Complex)  $\rightarrow$  Toulon

L=2588 km, beam inclined 11.7°



need  $1.5 \cdot 10^{21}$  pot

From preliminary studies:  
7 $\sigma$  discrimination in 3 yr from event counting only (3 $\sigma$  with 3-4% systematics)